Towards an integrated view of WTO Members trade policy measures

[NTMs, Tariffs, Services]

Jurgen Richtering
How to find information on trade policy measures

G/TBT/N/USA/77
G/SPS/N/CHL/232
IP/N/1/GHA/C/1
GPA/W/101/Add.2
G/ADP/N/126/Add.1/Rev.3
G/SG/N/14/IDN/1/Corr.1
G/TBT/N/MNG/2
G/TBT/N/OMN/44
G/AG/N/ISR/44
G/TBT/N/JPN/211
G/SPS/N/BOL/13/Corr.1
G/TBT/N/BRA/115/Rev.1/Add.1
G/TBT/N/USA/380
G/SPS/N/KOR/174
G/TBT/N/CHN/674
IP/N/1/ESP/P/3
G/TBT/N/BHR/36
G/SPS/N/USA/1262
G/ADP/N/78/PER/Corr.1
G/SPS/N/USA/577
G/SPS/N/USA/1517
G/TBT/N/BRB/1
G/SPS/N/USA/1288/Add.1
G/TBT/N/CHN/435
G/SCM/N/155/USA
G/ADP/N/71
G/TBT/N/CAN/154
G/SPS/N/ECC/278/Add.
G/SPS/N/NZL/359
G/SG/N/7/EGY/1
G/TBT/N/QAT/150
G/SPS/N/BRA/101/Add.
G/SPS/N/MEX/51
G/SPS/N/KOR/243
G/ADP/N/82
G/SPS/N/CRI/9
G/TBT/N/PHL/101
G/SPS/N/BRA/597
G/SCM/N/16/COL
G/SPS/N/USA/1442
G/SCM/N/178/CHL
G/SPS/N/USA/495
IP/N/1/LCA/T/2
G/SCM/N/144/Add.1
G/SPS/N/JPN/215
G/SPS/N/USA/1584
G/ADP/N/72/MEX/Corr.
G/SPS/N/USA/25
G/SPS/N/IND/36
G/SPS/N/USA/1860
Current status quo

Focus had been on document archiving and retrieval

• Information fragmented in multiple documents
  – by member, type, year.

• Mostly unstructured information in WORD/PDF

• Overview and/or drill-down difficult

• Compliance gaps (not obvious)

• Not integrated
  – Among measures
  – With tariff and trade information

• Only notification requirements covered
I-TIP goals

• Public one stop shop for ALL trade policy measures
• Structured information using uniform codes
• Link to original notifications and/or official sources
• Allow broad-based cross-cutting overview as well as focused/detailed drill down
  – By measure, country, product, time period
• Go beyond notifications
  – TPM, TPRs, Other official sources (services)
• Include measures on goods, services and IP
I-TIP Goods / NTMs

• Most advanced
• Complements tariff databases (TAO, TDF)
• Operational for members since December 2011
• I-TIP Goods currently includes:
  – SPS and TBT (including STCs)
  – ADP and CVD
• Will cover all NTM notifications by 2014
Central Registry of Notifications

Up to now:

Notifications => documents => very limited analytical scope

- SPS IMS
- TBT IMS
- IDB [tariff & trade data]
- AG DBs [IAIS]
- RULES DBs [ADP, CVD, SG, ...]
- MA DBs [Imp Lic, QR, ...]
- GPA, TRIPS, ...

Public access via documents & DB system

Public access via documents only
From now on: I-TIP

Central Registry of Notifications

Catalogue notifications based on common codes & make them accessible via I-TIP

Notifications

SPS IMS
TBT IMS
GPA, TRIPS, ...
CTS [bound duties]
AGR DBs [IAIS]
IDB [tariff & trade data]
RULES DBs [ADP, CVD, SG, ...]
MA DBs [Imp Lic, QR, ...]
CTS [bound duties]
RULES DBs [ADP, CVD, SG, ...]
MA DBs [Imp Lic, QR, ...]
SPS IMS
TBT IMS
GPA, TRIPS, ...

Public access
Common coding elements

• NTM category
  – Notification requirement
  – Inter agency NTM classification code
• Member reporting/implementing NTM
• Partner(s) affected [MFN or country-specific]
• Dates / Timeline
  – initiation, in force, withdrawal
• Product
  – descriptions and (as available) HS codes
Use of dates for different NTMs

**Initiated:**
- ADP, CVD: start date of initial investigation
- SPS, TBT: date of first notification (for comments)
- STC: not applicable - equal to in force date

**Entry into force:**
- ADP and CVD: Imposition of final duty
- SPS and TBT: date of notified entry into force (often lacking!)
- STCs: date when STC was first raised in committee meeting

**Withdrawn:**
- ADP and CVD: termination or withdrawal of duty/undertaking
- SPS and TBT: date of notified withdrawal
- STC: date when STC has been resolved (SPS only)
Conceptual issues for SPS & TBT

• Move from count of notification documents to clearly identifiable trade policy measures
  – Disregard addenda, revisions, etc. when counting

• Serious Gaps of in-force and/or withdrawal dates
  - These dates are sometimes found in addenda, corrigenda and revisions
  - Clarification required from members which measures are in force, still under consideration or withdrawn
Conceptual issues for ADP & CVD

• Collate information found in the different tables of semi-annual report notifications in order to track individual trade remedy actions over time

• Good notification records but sometimes change of product descriptions over time

• In very few cases termination dates missing
  – Measures “disappeared” from one report to the next without termination dates recorded. Clarification required from members.
On Goods: NTMs

This application will undergo further development. Comments/questions on user interface, performance and outputs are most welcome. Please send them to: i-tip@wto.org
I-TIP Goods: Integrated analysis and retrieval of non-tariff measure notifications

Choose one of the following options

Presentation in graphs
NTMs in force and measures taken during the last years. See individual measures by simple clicking on any one bar in the graphs! This option doesn't allow affected-country nor product selections.

Tables by products and by countries
Incidence of NTMs by HS sections or HS chapters and by country. See individual measures by simple clicking on any one number in the table! This options doesn't allow affected-country selections.

USER-DEFINED QUERY
Select type of NTM, time, country and product and get tabular listings of NTMs. Any combination of criteria is possible.
Graphical summaries by member

Move cursor over bar => count of NTMs
Click on bar: => get list of NTMs

Stocks  Flows
### Products affected - by HS Sections

<table>
<thead>
<tr>
<th>HS Product description</th>
<th>CV</th>
<th>ADP</th>
<th>TBT</th>
<th>SPS</th>
<th>Bound</th>
<th>MFN Applied</th>
<th>MTU</th>
</tr>
</thead>
<tbody>
<tr>
<td>S00 - Measures without HS code</td>
<td>7</td>
<td>69</td>
<td>586</td>
<td>109</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>S01 - Live animals and products</td>
<td>6</td>
<td>182</td>
<td>9.7</td>
<td>7.1</td>
<td>207</td>
<td></td>
<td></td>
</tr>
<tr>
<td>S02 - Vegetable products</td>
<td>1</td>
<td>112</td>
<td>7.9</td>
<td>5.2</td>
<td>3530</td>
<td></td>
<td></td>
</tr>
<tr>
<td>S03 - Animal and vegetable fats, oils and waxes</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>10</td>
<td>6.0</td>
<td>5.6</td>
<td>74</td>
</tr>
<tr>
<td>S04 - Prepared foodstuff; beverages, spirits, vinegar; tobacco</td>
<td>2</td>
<td>6</td>
<td>58</td>
<td></td>
<td>15.8</td>
<td>12.6</td>
<td>3200</td>
</tr>
<tr>
<td>S05 - Mineral products</td>
<td>1</td>
<td>2</td>
<td>1</td>
<td>2</td>
<td>1.5</td>
<td>0.3</td>
<td>4966</td>
</tr>
<tr>
<td>S06 - Products of the chemical and allied industries</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>22</td>
<td>5.4</td>
<td>4.3</td>
<td>1464</td>
</tr>
<tr>
<td>S07 - Raising, plastics and articles; rubber and articles</td>
<td>3</td>
<td>7</td>
<td>1</td>
<td>22</td>
<td>5.4</td>
<td>4.7</td>
<td>3836</td>
</tr>
<tr>
<td>S08 - Hides, skins and articles; saddlery and travel goods</td>
<td>2</td>
<td>6</td>
<td>58</td>
<td></td>
<td>4.0</td>
<td>2.4</td>
<td>1361</td>
</tr>
<tr>
<td>S09 - Wood, cork and articles: basketware</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>22</td>
<td>3.7</td>
<td>2.8</td>
<td>1503</td>
</tr>
<tr>
<td>S10 - Paper, paperboard and articles</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
<tr>
<td>S11 - Textiles and articles</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
<tr>
<td>S12 - Footwear, headgear; feathers, artif. flowers, fans</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
<tr>
<td>S13 - Articles of stone, plaster; ceramic prod.; glass</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
<tr>
<td>S14 - Pearls, precious stones and metals; coin</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
<tr>
<td>S15 - Base metals and articles</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
<tr>
<td>S16 - Machinery and electrical equipment</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
<tr>
<td>S17 - Vehicles, aircraft and vessels</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
<tr>
<td>S18 - Instruments, clocks, recorders and reproducers</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
<tr>
<td>S19 - Arms and ammunition</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
<tr>
<td>S20 - Miscellaneous manufactured articles</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
<tr>
<td>S21 - Works of art and antiquities</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>8.1</td>
<td>7.8</td>
<td>1078</td>
<td></td>
</tr>
</tbody>
</table>

**Click any number to get list of NTMs**

**Click and drill down to HS Chapters**
Available search criteria

- Measure / Notification
- Date(s)
- Member(s) imposing the measure
- Partner(s) affected by the measure
- Product(s) affected by the measure
Selection of NTMs

Inter-agency NTM Classification code
Time / period selection

Available search criteria

- Measure / Notification

- Date(s)
  - Measures at a selected date
  - Measures taken during period of time
    - From 01/01/2012
    - To 31/12/2012
  - initiated
  - entered into force
  - withdrawn

Member(s) imposing the measure

Partner(s) affected by the measure

Product(s) affected by the measure

Stocks

Flows
Member and partner selection

Available search criteria

- Measure / Notification
- Date(s)

Member(s) imposing the measure

- Brunei Darussalam
- Bulgaria
- Burkina Faso
- Cambodia
- Cameroon
- Canada
- Central African Republic

Partner(s) affected by the measure

- Australia
- Brazil
Product selection (text or HS based)

- Full text search on member provided product description (comma separated, max. 5):

OR:

- HS based search:

Products available:

Version: All
Section: All

- 01 - LIVE ANIMALS
- 02 - MEAT AND EDIBLE MEAT OFFAL
- 03 - FISH AND CRUSTACEANS, MOLLUSCS AND OTHER AQUATIC INVERTEBRATES
# Summary listing of NTMs

## Selected search criteria

- **Notification requirements:** Sanitary and Phytosanitary or Technical Barriers to Trade or Anti dumping or Countervailing [Regular, Specific Trade Concern, Regular measures, Special measures, Antidumping measures, Countervailing measures]
- **Member(s) imposing:** Member(s) selected
- **Partner(s) affected:** Any
- **Date(s):** From 01/01/2012 to 31/12/2012 (initiated, into force, withdrawn)
- **Products:** Any

## Search result

Your query covers 57 measures

## Measures:

<table>
<thead>
<tr>
<th>Member imposing</th>
<th>Partner affected</th>
<th>Requirements</th>
<th>Phase</th>
<th>STC</th>
<th>HS</th>
<th>ICS</th>
<th>Measures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Member country selected</td>
<td>All Members</td>
<td>SPS Regular</td>
<td>In force</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>4</td>
</tr>
<tr>
<td></td>
<td>All Members</td>
<td>SPS Regular</td>
<td>Initiation</td>
<td>0</td>
<td>9</td>
<td>0</td>
<td>22</td>
</tr>
<tr>
<td></td>
<td>All Members</td>
<td>TBT Regular measures</td>
<td>In force</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>4</td>
</tr>
<tr>
<td></td>
<td>All Members</td>
<td>TBT Regular measures</td>
<td>Initiation</td>
<td>0</td>
<td>10</td>
<td>0</td>
<td>28</td>
</tr>
<tr>
<td>Bilateral</td>
<td>ADP</td>
<td>ADP</td>
<td>Initiation</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Bilateral</td>
<td>ADP</td>
<td>ADP</td>
<td>Withdrawn</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>2</td>
</tr>
</tbody>
</table>
## Detail listing of NTMs

### NTM details

<table>
<thead>
<tr>
<th>Member imposing</th>
<th>Partner affected</th>
<th>Notif. req.</th>
<th>Initiation In force Withdrawn</th>
<th>Product description</th>
<th>STC</th>
<th>HS</th>
<th>Trade &amp; Tariff</th>
<th>Source reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>All members</td>
<td>SPS</td>
<td>16/05/2012 22/07/2012</td>
<td>Aquatic creatures</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All members</td>
<td>TBT</td>
<td>08/10/2009 01/01/2012</td>
<td>Electrical Appliances</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All members</td>
<td>TBT</td>
<td>18/10/2010 01/01/2012</td>
<td>Passenger cars (referring to passenger automobiles and automobiles for passengers and freight for up to 10 passengers pursuant to Article 3 of the Automobile M...</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All members</td>
<td>TBT</td>
<td>20/01/2012 01/04/2012</td>
<td>IPTV Set-top box</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All members</td>
<td>TBT</td>
<td>23/05/2012 26/07/2012</td>
<td>Industrial Products</td>
<td></td>
<td></td>
<td>8468 8501 8562</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Malaysia</td>
<td>ADP</td>
<td>09/05/2008 24/04/2009 23/04/2012</td>
<td>Particle Board</td>
<td></td>
<td></td>
<td>44-011</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### STC details

<table>
<thead>
<tr>
<th>Specific Trade Concerns:</th>
<th>Member maintaining</th>
<th>Member concerned (raising + supporting)</th>
<th>Notif. req.</th>
<th>First raised</th>
<th>Last raised</th>
<th>resolved</th>
<th>notified measure</th>
<th>Title</th>
<th>Minutes</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>European Union</td>
<td>United States</td>
<td>TBT STC</td>
<td>03/11/2010 03/11/2010</td>
<td></td>
<td></td>
<td></td>
<td>Korea - Automobile standards of the efficiency of average energy consumption and allowable emission of greenhouse gases</td>
<td>G/TBT/M/52, paras. 36-43</td>
</tr>
</tbody>
</table>

**Related documents:**
- G/TBT/N/KOR/236
- G/TBT/N/KOR/236/Add.1
- G/TBT/M/52, paras. 36-43
### Details as exported to EXCEL

**Source:** WTO, Integrated Trade Intelligence Portal (I-TIP), Extract made on 16/01/2013 08:30

**Your query covers 57 measures and 0 Specific Trade Concerns**

**Notification requirements:** Sanitary and Phytosanitary or Technical Barriers to Trade or Anti dumping or Countervailing [Regular, Specific Trade Concern]

**Member(s) imposing:** Member selected

**Partner(s) affected:** Any

**Date(s):** From 01/01/2012 to 31/12/2012 [initiated, into force, withdrawn]

**Product-country:** Any

#### NTM details

<table>
<thead>
<tr>
<th>Member</th>
<th>Partner affect</th>
<th>Notif. req.</th>
<th>Initiation</th>
<th>In force</th>
<th>Withdrawn</th>
<th>Product description</th>
<th>STC</th>
<th>HS</th>
<th>Source reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>All Members</td>
<td>SPS Regular</td>
<td>21-Nov-11</td>
<td>15-Jan-12</td>
<td>Shelled walnut</td>
<td>no</td>
<td>080232</td>
<td>Document</td>
<td></td>
<td></td>
</tr>
<tr>
<td>All Members</td>
<td>SPS Regular</td>
<td>21-Nov-11</td>
<td>15-Jan-12</td>
<td>Plants for planting which are</td>
<td>no</td>
<td>0602</td>
<td>codes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>All Members</td>
<td>SPS Regular</td>
<td>21-Feb-12</td>
<td>23-May-12</td>
<td>Livestock products</td>
<td>no</td>
<td>(web links)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All Members</td>
<td>SPS Regular</td>
<td>16-May-12</td>
<td>22-Jul-12</td>
<td>Aquatic creatures</td>
<td>no</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All Members</td>
<td>TBT Regular</td>
<td>08-Oct-09</td>
<td>01-Jan-12</td>
<td>Electrical Appliances</td>
<td>no</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All Members</td>
<td>TBT Regular</td>
<td>18-Oct-10</td>
<td>01-Jan-12</td>
<td>Passenger cars (referring to)</td>
<td>yes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All Members</td>
<td>TBT Regular</td>
<td>20-Jan-12</td>
<td>01-Apr-12</td>
<td>IPTV Set-top box</td>
<td>no</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All Members</td>
<td>TBT Regular</td>
<td>23-May-12</td>
<td>26-Jul-12</td>
<td>Industrial Products</td>
<td>no</td>
<td>8468, 8501,</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Malaysia</td>
<td>AD</td>
<td>09-May-08</td>
<td>24-Apr-09</td>
<td>23-Apr-12</td>
<td>Particle Board</td>
<td>no</td>
<td>441011</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Thailand</td>
<td>AD</td>
<td>09-May-08</td>
<td>24-Apr-09</td>
<td>23-Apr-12</td>
<td>Particle Board</td>
<td>no</td>
<td>441011</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### STC details

<table>
<thead>
<tr>
<th>Member</th>
<th>Member concerned</th>
<th>Requirement</th>
<th>First raised</th>
<th>Last raised</th>
<th>resolved</th>
<th>Title</th>
<th>notified</th>
<th>HS</th>
<th>Source reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>European Union, USA</td>
<td>TBT STC</td>
<td>03-Nov-10</td>
<td>03-Nov-10</td>
<td>Automobile standards</td>
<td>yes</td>
<td>G/TBT/M/52, paras</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Milestones 2013-14

• Improve **consistency** and **quality** of data:
  – Review & standardize notification information in databases
• Review and improve divisional **data entry**
• Review and improve **user interface**
• Include **additional NTM** notifications such as:
  – Import licensing
  – Quantitative restrictions
  – Agricultural notifications
• Progressively improve **I-TIP functionality**
Some questions on NTMs

• How many regular SPS notifications as of end 2012 have targeted specific exporters explicitly?
• In the last 10 years, which members made the most TBT regular notifications?
• How many ADP measures on steel had final measures in force end 2001 vs. end 2011?
• How many CVD investigations were initiated in 2008-2011 vs. 2004-2007?
• How many TBT STC were raised in 2012?
With answers from I-TIP

• How many regular SPS notifications as of end 2012 have targeted specific exporters explicitly: **89 (out of 8100)**

• In the last 10 years, which members made the most TBT regular notifications: **China (881), Israel (675), USA (585)**

• How many ADP measures on steel had final measures in force end 2001 vs. end 2011: **234 (2001) – 196 (2011)**


• How many TBT STC were raised in 2012: **13 STC**
Thank you for your attention

jurgen.richtering@wto.org