Rules
of conducting inspections
by the accredited conformity assessment body

1. General provisions

1. These Rules were elaborated in accordance with Article 12 of the Law of the Republic of Kazakhstan “On Technical Regulation” and establish the procedure of conducting the inspections by the accredited conformity assessment body in the state system of technical regulation of the Republic of Kazakhstan.

2. Requirements of the Rules shall apply to the accredited agencies on conformity assessment, testing laboratories (centers) as well as to legal and natural persons participating in activities of the state system of technical regulation of the Republic of Kazakhstan.

3. The inspection represents an examination to be carried out by the accredited body on assessment of the conformity of certified products, services, process and quality system with the requirements established by the normative legal acts in the field of technical regulation in accordance with the procedure determined by the authorized body.

4. Inspections of certified products, services, process and quality system, if provided so by the conformity assessment scheme, shall be carried out by the accredited conformity assessment bodies which issued the certificate. The certification scheme shall be chosen by the applicant together with the conformity assessment body.

5. Inspections shall be carried out during the whole validity period of the certificate and of the right to marking of the products (services) with the conformity mark at least once a year in the form of periodical and unscheduled inspections. The inspections frequency shall be determined by the conformity assessment body in accordance with the chosen certification scheme.

6. Unscheduled inspections shall be carried out in case of receiving claims on certified products (service, process, quality system).

7. The kinds of works and the scope of inspections shall be determined by the conformity assessment body in the inspection program taking into account the implemented certification scheme, stability of the products characteristics, the product hazard grade, stability of the products’ manufacture processes, the output volume and other indices.

   Prior to beginning of the inspection, the inspection program shall be approved by the head of the certification body.
8. All expenses associated with conducting of the inspection shall be paid by the applicant, irrespective of its results on the basis of the contract.

2. Inspection procedure

9. Inspection, if provided so by the conformity assessment scheme, shall include the following kinds of works:
   1) analysis of information on the certified products, service, process, on the quality system functioning;
   2) control of compliance with the conditions required for manufacture of products of stable quality, or the conditions required for the stability of process of rendering services;
   3) sampling, testing of products and analysis of their results;
   4) formulation of the inspection results and making decisions.
   In presence of the quality management system certificate the analysis of conditions for manufacture of products of stable quality is not required, provided that the certificate of conformity is issued by the body recognized in the state system of technical regulation of the Republic of Kazakhstan.

10. The results of the inspection shall be made up by the statement of optional form which shall include the assessment of the samples testing results and other check-ups, report on the condition of the manufacture of the certified products or the process of rendering service and possibility of retention of the validity of the issued certificate of conformity.
   Copies of the statement shall be communicated to the applicant. A copy of the statement shall be kept by the conformity assessment body during at least three years.
   11. Upon negative results of the inspection, the conformity assessment body shall suspend or cancel the validity of the issued certificates of conformity in accordance with the procedure established by the authorized body.