

Adopted  
By the Decision of the Commission  
of the Customs Union  
No. 621 from April 7, 2011

**REULATION**  
**on application of modal schemes of conformity assessment (confirmation)**  
**in technical regulations of the Customs Union**

1. Regulation on application of modal schemes of conformity assessment (confirmation) has been developed for the purpose of implementation of clauses of the Agreement on common principles and rules of technical regulation in Republic of Belarus, Republic of Kazakhstan and the Russian Federation from November, 18th, 2010.

2. With regard to production, requirements to which have been established by technical regulations of the Customs union (further - technical regulations), conformity assessment is carried out in the form of confirmation of compliance (declaration of conformity, certification), registration, including by the state, examination, assessment of suitability, tests, state control (supervision) and (or) other form.

Conformity assessment in the form of registration, examination, assessment of suitability, state control (supervision) and (or) in other form, is established by the developer in specific technical regulations, taking into account products' specificity, and also object of technical regulation (for example – electromagnetic compatibility, etc), degrees of risk of trespass, and is carried out by the corresponding authorities of member states of the Customs union.

Modal forms of conformity assessment are presented in appendix A.

3. State registration of production is carried out by bodies and organizations, authorized in the field of sanitary and epidemiological well-being of the population with issuing of the certificate on state registration.

For production subjected to state registration, declaration of compliance is the primary form of confirmation of compliance.

Modal schemes of state registration of production are presented in Appendix B.

4. State registration of industrial objects is carried out by the authorized bodies of member state of the Customs union on the basis of the application for state registration of industrial object.

5. Selection of forms and schemes for conformity assessment has to be carried out with a view of total risk from doubtful conformity assessment and harm from application of production that failed to pass conformity assessment. While selecting forms and schemes it is necessary to take into account following key factors:

degree of potential threat of production;

sensitivity of set indicators to changes in industrial and (or) operational factors;

applicant's status (manufacturer, person authorized by the manufacturer, seller, supplier);

adequacy of degree of conformity proof and expenses for carrying out conformity assessment to the purposes of technical regulation.

6. Confirmation of compliance is carried out in the form of certification or declaration of conformity under modal schemes.

Conformity certificates can be used as evidentiary materials while accepting declaration of conformity, registration and approval (statement) of production type if such order is established in technical regulation.

7. The modal scheme of confirmation of compliance is a set of actions (elements) which results are used for making decision on conformity (discrepancy) of production to requirements of technical regulation.

Generally such actions (elements) can be considered:

- technical documents analysis;
- identification, tests of production, research of production type;
- assessment of production process, industrial inspection;
- issuance of conformity certificate, acceptance of declaration of conformity to technical regulations under the common form, adopted by the Commission of the Customs union (further – conformity certificate, declaration of conformity);
- registration of declaration of conformity;
- making a uniform sign of production circulation in the market of member states of the Customs union (further – making a common sign of circulation);
- inspection control.

8. Analysis of technical documents shall be the imprescriptible element of each modal scheme and can include:

analysis for production identification;

analysis for defining suitability of technical documents for confirmation of compliance;

project research.

9. The composition of technical documents, confirming production compliance to requirements of technical regulation, is established by specific technical regulation, and generally can include:

technical conditions/descriptions (if there is);

operational documents (if there is);

list of standards, related to technical regulation, which requirements the relevant production corresponds (at their application by the manufacturer);

description of made decisions, conforming compliance to requirements of technical regulation, if standards, related to technical regulation, are absent or were not used;

Reports of acceptance, hand-over and other tests, performed by the applicant and/or accredited test laboratories (centers), confirming production compliance to requirements of technical regulation;

documents, confirming product safety in accordance with acts of the Customs union and members-states of the Customs union;  
 conformity certificates on management systems;  
 conformity certificates or test reports on raw materials, components or parts of a product;  
 other documents, confirming product safety.

10. Research of the project of production can be carried out through analyzing technical documents, in which accordance production is made, results of made calculations, tests of experimental product samples.

11. Research of type of production can be carried out through:  
 research of the sample for planned manufacture of modal representative of production;  
 analysis of technical documents, test of a product sample or critical components of production.

12. Assessment of production can be presented by the following key types:  
 analysis of condition of production;  
 certification system of management.

13. Industrial inspection is carried out by the manufacturer for ensuring stability of production conformity to technical documents and requirements of technical regulations.

14. Registration of conformity declarations is carried out by notifying principle according to the order established by the Commission of the Customs union.

15. The inspection control is spent only within the limits of certification and can include:

tests of samples of certificated production;  
 analysis of production condition;  
 inspection control of certificated management system.

16. Control over production, which compliance is confirmed by the conformity declaration, is carried out within the scope of state control (supervision).

17. With a view of granting the applicant the right to choose more acceptable for him scheme of confirmation of compliance in technical regulation for specific production, it is recommended to establish several modal schemes, equivalent on degree of proof.

18. Depending on the modal scheme of certification, confirmation of compliance in the form of certification is carried out by the accredited body for production certification, the accredited body on certification of management systems, included in the Common register of bodies for certification and test laboratories (centres) of the Customs union (further – body for production certification, body for certification of management systems).

19. Depending on the modal scheme of conformity declaration, confirmation of compliance in the form of conformity declaration is carried out on the basis of personal proofs and (or) proofs, received with participation of body for certification of

production, body for certification of management systems, accredited test laboratory, included in the Common register of bodies for certification and test laboratories (centres) of the Customs union (further – accredited test laboratory).

20. Modal schemes of certification are presented in the appendix C.

21. Modal schemes of declaration of conformity are presented in the appendix D.

## **22. Description of modal schemes of certification.**

### **22.1. Conformity assessment scheme № 1c**

22.1.1. Scheme 1c includes following procedures:

- the applicant submits to body for production certification the application form for production certification with attached technical documents;
- considering the notice and making decision on it by body for production certification;
- selection by body for production certification samples for carrying out tests;
- carrying out tests of product samples by accredited test laboratory;
- carrying out by body for production certification analysis of production condition;
- summarizing by body for production certification results of tests and analysis of production condition and issuance certificate of conformity to the applicant;
- marking a common mark of circulation;
- inspection control over certificated production.

22.1.2. The applicant undertakes all necessary measures to ensure stable production process and ensure conformity of produced production to requirements of technical regulation, forms technical documents and submits the application form for production certification to one of existing bodies for production certification, which have relevant products in the field of accreditation.

22.1.3. The certification body analyzes technical documents, presented by the applicant, and informs the applicant on the decision regarding the application, containing conditions of carrying out certification.

22.1.4. Body for production certification makes production sampling for carrying out tests.

Tests of samples are carried out by accredited test laboratory on the order of body for production certification, which receives tests report.

22.1.5. The analysis of condition of applicant's manufacture is carried out by body for production certification. Results of the analysis are issued by the certificate.

22.1.6. If tests results and analysis of production condition are positive, body for production certification issues certificate of conformity to the applicant.

22.1.7. The applicant makes a common sign of circulation if other is not established by the technical regulation.

22.1.8. Body for production certification carries out inspection control over certificated production during the whole period of certificate of conformity validity

through product samples' tests in accredited test laboratory and (or) analysis of production condition. At positive results of inspection control certificate of conformity is considered to be confirmed, which is indicated in certificate of inspection control. At negative results of inspection control body for production certification accepts one of the following decisions:

- to suspend conformity certificate;
- to cancel certificate of conformity.

Decision of body for production certification is submitted to the applicant.

The relevant record is made in the Uniform register of the issued certificates of conformity and the registered declarations of conformity, issued by body for certification of production under the uniform form.

22.1.9. In case if there was made modification in construction (structure) of production or technology of its manufacture, which can affect production conformity to requirements established in technical regulations, the applicant in advance informs in writing body for production certification, which makes a decision on necessity for carrying out additional tests and (or) analysis of production condition.

## **22.2. Conformity assessment scheme № 2c**

22.2.1. Scheme 2c includes following procedures:

- the applicant submits to body for production certification the application form for production certification with technical documents, which must include certificate for management system (certificate copy), issued by body for certification of management systems, confirming compliance of management system to requirements, defined in technical regulation;
- considering the notice and making decision on it by body for production certification;
- selection by body for production certification samples for carrying out tests;
- carrying out tests of product samples by accredited test laboratory;
- summarizing by body for production certification results of analysis of submitted by the applicant technical documents, results of product sample tests and issuance of certificate of conformity to the applicant;
- marking a common mark of circulation;
- inspection control over certificated production, control for stable functioning of management system.

22.2.2. The applicant undertakes all necessary measures to ensure stable functioning of management system and conditions for manufacturing production, complied with requirements of technical regulation, forms technical documents and submits the application form for production certification to one of existing bodies for production certification, which have relevant products in the field of accreditation.

In the application form the document is indicated, on which conformity management system is certified with regard that in technical regulations one or

several documents can be established, on conformity to which certification of management system is carried out.

Simultaneously the applicant presents certificate on management system (certificate copy).

22.2.3. Application consideration, selection and test of samples – according to 22.1.3, 22.1.4.

22.2.4. If results of analysis of technical documents and tests body for production certification are positive, body for product certification issues certificate of conformity to the applicant.

22.2.5. The applicant makes a common sign of circulation if other is not established by the technical regulation.

22.2.6. Body for production certification carries out inspection control over certificated production during the whole period of certificate of conformity validity through product samples' tests in accredited test laboratory and analysis of inspection control results by body for certification of management systems for certificated management system. At positive results of inspection control certificate of conformity is considered to be confirmed, which is indicated in certificate of inspection control. At negative results of inspection control body for production certification accepts one of the following decisions:

- to suspend conformity certificate;
- to cancel certificate of conformity.

Decision of body for production certification is submitted to the applicant.

The relevant record is made in the Uniform register of the issued certificates of conformity and the registered declarations of conformity, issued by body for certification of production under the uniform form.

### **22.3. Conformity assessment scheme № 3c**

22.3.1. Scheme 3c includes following procedures:

- the applicant submits to body for production certification the application form for production certification with attached technical documents;
- considering the notice and making decision on it by body for production certification;
- selection by body for production certification samples for carrying out tests;
- carrying out tests of product samples by accredited test laboratory;
- analysis of tests results and issuance certificate of conformity to the applicant;
- marking a common mark of circulation;

22.3.2. The applicant forms technical documents and submits the application form for production-run certification to one of existing bodies for production certification, which have relevant products in the field of accreditation.

The application form shall include identification of a lot and comprised production units.

22.3.3. Body for certification informs the applicant on the decision regarding the application, containing conditions of carrying out certification.

22.3.4. Body for certification carries out identification of production-run and sampling for tests at the applicant.

22.3.5. Tests of production-run (sample from a lot) are carried out by accredited test laboratory by the order of body for certification, which receives tests report.

22.3.6. At positive results of tests body for certification issues certificate of conformity to the applicant.

22.3.7. The applicant makes a common sign of circulation if other is not established by the technical regulation.

#### **22.4. Conformity assessment scheme № 4c**

22.4.1. Scheme 4c includes following procedures:

- the applicant submits to body for production certification the application form for production certification with attached technical documents;
- considering the notice and making decision on it by body for production certification;
- carrying out tests of each production unit by accredited test laboratory;
- analysis of tests results and issuance certificate of conformity to the applicant;
- marking a common mark of circulation;

22.4.2. The applicant forms technical documents and submits the application form for production unit certification to one of existing bodies for production certification, which have relevant products in the field of accreditation.

The application form shall include identification of production unit.

22.4.3. Body for certification informs the applicant on the decision regarding the application, containing conditions of carrying out certification.

22.4.4. Tests of production unit are carried out by accredited test laboratory by the order of body for certification, which receives tests report.

22.4.5. At positive results of tests body for certification issues certificate of conformity to the applicant.

22.4.6. The applicant makes a common sign of circulation if other is not established by the technical regulation.

#### **22.5. Conformity assessment scheme № 5c**

22.5.1. Scheme 5c includes following procedures:

- the applicant submits to body for certification the application form for certification with attached technical documents;
- considering the notice and making decision on it by body for certification;
- carrying out tests of project by body for certification;
- carrying out tests of production condition by body for certification;
- summarizing results of project tests and tests of production condition and issuance of certificate of conformity to the applicant;

- marking a common mark of circulation;
- inspection control over certificated production.

22.5.2. The applicant undertakes all necessary measures to ensure stable production process and ensure conformity of produced production to requirements of technical regulation, forms technical documents and submits the application form for production certification to one of existing bodies for production certification, which have relevant products in the field of accreditation.

22.5.3. Body for certification informs the applicant on the decision regarding the application, containing conditions of carrying out certification.

22.5.4. Body for certification carries out tests of project of production, by analyzing technical documents, on which production is manufactured, results of carried out calculations, tests of experimental product samples.

Tests results of project of production are indicated in conclusion, where body for certification assesses conformity of project of production to established requirements.

22.5.5. Analysis of production condition at the applicant is carried out by body for certification. Results of analysis are issued by certificate.

22.5.6. At positive results of tests of project of production and tests of production condition body for certification issues certificate of conformity to the applicant.

22.5.7. The applicant makes a common sign of circulation if other is not established by the technical regulation.

22.5.8. Body for production certification carries out inspection control over certificated production during the whole period of certificate of conformity validity through product samples' tests in accredited test laboratory and (or) analysis of production condition. At positive results of inspection control certificate of conformity is considered to be confirmed, which is indicated in certificate of inspection control. At negative results of inspection control body for production certification accepts one of the following decisions:

- to suspend conformity certificate;
- to cancel certificate of conformity.

Decision of body for production certification is submitted to the applicant.

The relevant record is made in the Uniform register of the issued certificates of conformity and the registered declarations of conformity, issued by body for certification of production under the uniform form.

22.5.9. In case if there was made modification in construction (structure) of production or technology of its manufacture, which can affect production conformity to requirements established in technical regulations, the applicant in advance informs in writing body for production certification, which makes a decision on necessity for carrying out additional tests and (or) analysis of production condition.

## **22.6. Conformity assessment scheme № 6c**



22.6.1. Scheme 6c includes following procedures:

- the applicant submits to body for production certification the application form for certification with attached technical documents, which must include certificate for management system (certificate copy), issued by body for certification of management systems, confirming compliance of management system to requirements, defined in technical regulation;
- carrying out tests of project by body for certification;
- summarizing results of analysis of submitted by the applicant technical documents, including tests results of project of production, and issuance of certificate of conformity to the applicant;
- marking a common mark of circulation;
- inspection control over certificated production (control for stable functioning of quality management system).

22.6.2. The applicant undertakes all necessary measures to ensure stable functioning of management system and conditions for manufacturing production, complied with requirements of technical regulation, forms technical documents and submits the application form for production certification to one of existing bodies for production certification, which have relevant products in the field of accreditation.

In the application form the document is indicated, on which conformity management system is certified with regard that in technical regulations one or several documents can be established, on conformity to which certification of management system is carried out.

Simultaneously the applicant presents certificate on management system (certificate copy).

22.6.3. Body for production certification analyzes technical documents, carries out tests of project of production in accordance with 22.5.4 and at positive results issues certificate of conformity to the applicant.

22.6.4. The applicant makes a common sign of circulation if other is not established by the technical regulation.

22.6.5. Body for production certification carries out inspection control over certificated production during the whole period of certificate of conformity validity through product samples' tests in accredited test laboratory and analysis of inspection control results by body for certification of management systems for certificated management system. At positive results of inspection control certificate of conformity is considered to be confirmed, which is indicated in certificate of inspection control. At negative results of inspection control body for production certification accepts one of the following decisions:

- to suspend conformity certificate;
- to cancel certificate of conformity.

Decision of body for production certification is submitted to the applicant.

The relevant record is made in the Uniform register of the issued certificates of conformity and the registered declarations of conformity, issued by body for certification of production under the uniform form.

22.6.7. In case if there was made modification in construction (structure) of production or technology of its manufacture, which can affect production conformity to requirements established in technical regulations, the applicant in advance informs in writing body for production certification, which makes a decision on necessity for carrying out new tests.

## **22.7. Conformity assessment scheme № 7c**

22.7.1. Scheme 7c includes the following procedures:

- the applicant submits to body for certification the application form for certification;
- considering the notice and making decision on it by body for certification;
- carrying out tests of type by body for certification;
- carrying out tests of production condition by body for certification;
- summarizing results of project tests and tests of production condition and issuance of certificate of conformity to the applicant;
- marking a common mark of circulation;
- inspection control over certificated production.

22.7.2. The applicant undertakes all necessary measures to ensure stable production process and ensures conformity of produced production to requirements of technical regulation, forms technical documents and submits the application form for production certification to one of existing bodies for production certification, which have relevant products in the field of accreditation.

22.7.3. The certification body informs the applicant on the decision regarding the application, containing conditions of carrying out certification.

22.7.4. Body for certification carries out tests of type of production through:

- research of the sample for planned manufacture of modal representative of production;
- analysis of technical documents, test of a product sample or critical components of production.

Tests results of project of production are indicated in conclusion, where body for certification assesses conformity of project of production to established requirements.

22.7.5. Analysis of production condition at the applicant is carried out by body for certification. Results of analysis are issued by certificate.

22.7.6. At positive results of tests of type of production and tests of production condition body for certification issues certificate of conformity to the applicant.

22.7.7. The applicant makes a common sign of circulation if other is not established by the technical regulation.

22.7.8. Body for production certification carries out inspection control over certificated production during the whole period of certificate of conformity validity through product samples' tests in accredited test laboratory and (or) analysis of production condition. At positive results of inspection control certificate of conformity is considered to be confirmed, which is indicated in certificate of inspection control. At negative results of inspection control body for production certification accepts one of the following decisions:

- to suspend conformity certificate;
- to cancel certificate of conformity.

Decision of body for production certification is submitted to the applicant.

The relevant record is made in the Uniform register of the issued certificates of conformity and the registered declarations of conformity, issued by body for certification of production under the uniform form.

22.7.9. In case if there was made modification in construction (structure) of production or technology of its manufacture, which can affect production conformity to requirements established in technical regulations, the applicant in advance informs in writing body for production certification, which makes a decision on necessity for carrying out new tests and (or) analysis of production condition.

## **22.8. Conformity assessment scheme № 8c**

22.8.1 Scheme 8c includes following procedures:

- the applicant submits to body for production certification the application form for certification with attached technical documents, which must include certificate for management system (certificate copy), issued by body for certification of management systems, confirming compliance of management system to requirements, defined in technical regulation;
- considering the notice and making decision on it by body for certification;
- carrying out tests of type by body for certification;
- summarizing results of analysis of technical documents, including tests results of type of production, and issuance of certificate of conformity to the applicant;
- marking a common mark of circulation;
- inspection control over certificated production (control for stable functioning of quality management system).

22.8.2. The applicant undertakes all necessary measures to ensure stable functioning of management system and conditions for manufacturing production, complied with requirements of technical regulation, forms technical documents and submits the application form for production certification to one of existing bodies for production certification, which have relevant products in the field of accreditation.

In the application form the document is indicated, on which conformity management system is certified with regard that in technical regulations one or

several documents can be established, on conformity to which certification of management system is carried out.

Simultaneously the applicant presents certificate on management system (certificate copy).

22.8.3. Body for certification analyzes submitted technical documents, carries out tests of type of production in accordance with 22.5.4 and at positive results issues certificate of conformity to the applicant.

22.8.4. The applicant makes a common sign of circulation if other is not established by the technical regulation.

22.8.5. Body for production certification carries out inspection control over certificated production during the whole period of certificate of conformity validity through product samples' tests in accredited test laboratory and analysis of inspection control results by body for certification of management systems for certificated management system. At positive results of inspection control certificate of conformity is considered to be confirmed, which is indicated in certificate of inspection control. At negative results of inspection control body for production certification accepts one of the following decisions:

- to suspend conformity certificate;
- to cancel certificate of conformity.

Decision of body for production certification is submitted to the applicant.

The relevant record is made in the Uniform register of the issued certificates of conformity and the registered declarations of conformity, issued by body for certification of production under the uniform form.

22.8.6. In case if there was made modification in construction (structure) of production or technology of its manufacture, which can affect production conformity to requirements established in technical regulations, the applicant in advance informs in writing body for production certification, which makes a decision on necessity for carrying out new tests.

## **22.9. Conformity assessment scheme № 9c**

22.9.1. Scheme 9c includes following procedures:

- the applicant submits to body for production certification the application form for certification with attached technical documents, which must include the following:
  - results of carried out tests;
  - tests reports, carried out by the applicant or accredited test laboratory;
  - certificates of conformity for component materials and parts or tests reports (if there is);
  - certificates for quality management system (if there is);
  - documents, issued by foreign body for certification, confirming compliance of indicated production to requirements of related technical regulation;
  - other documents, directly or indirectly confirming production compliance to stated requirements.

- considering the notice and making decision on it by body for production certification for carrying out product certification;
- summarizing by body for production certification results of analysis of technical documents and issuance of certificate of conformity to the applicant;
- marking a common mark of circulation.

22.9.2. The applicant undertakes all necessary measures to ensure stable production process and ensure conformity of produced production to requirements of technical regulation, forms technical documents and submits the application form for production certification to one of existing bodies for production certification, which have relevant products in the field of accreditation.

22.9.3. Body for certification informs the applicant on the decision regarding the application, containing conditions of carrying out certification.

22.9.4. Body for certification carries out tests of technical documents, results of carried out calculations, production tests and other documents, confirming directly or indirectly compliance of production to stated requirements.

Tests results of technical documents are indicated in conclusion, where body for certification assesses conformity of project of production to established requirements.

22.9.5. At positive results of tests of production technical documents body for certification issues certificate of conformity to the applicant.

22.9.6. The applicant makes a common sign of circulation if other is not established by the technical regulation.

The relevant record is made in the Uniform register of the issued certificates of conformity and the registered declarations of conformity, issued by body for certification of production under the uniform form.

22.9.7. In case if there was made modification in construction (structure) of production or technology of its manufacture, which can affect production conformity to requirements established in technical regulations, the applicant in advance informs in writing body for production certification, which makes a decision on necessity for carrying out additional tests.

## **23. Description of modal schemes of declaration of conformity.**

### **23.1. Declaration of scheme 1e**

23.1.1 Scheme 1e includes following procedures:

- formation and analysis of technical documents;
- realization of industrial control;
- carrying out tests of product samples;
- acceptance and registration of declaration of conformity;
- marking a common mark of circulation.

23.1.2. The applicant undertakes all necessary measures to ensure stable production process and ensures conformity of produced production to requirements of technical regulation, forms and analyses technical documents.

23.1.3. The applicant secures realization of industrial control.

23.1.4. The applicant conducts tests of product samples for the purpose of control for production conformity to requirements of technical regulation. Tests of product samples are carried out in test laboratory or accredited test laboratory by the applicant's choice.

23.1.5. The applicant forms declaration of conformity and registers it by notifying principle.

23.1.6. The applicant marks a common mark of circulation if other is not established by the technical regulation.

### **23.2. Declaration of scheme 2e**

23.2.1. Scheme 2e includes following procedures:

- formation and analysis of technical documents;
- carrying out tests of production-put (one unit);
- acceptance and registration of declaration of conformity;
- marking a common mark of circulation.

23.2.2. The applicant forms and analyses technical documents.

23.2.3. The applicant conducts tests of product samples (one unit) for the purpose of confirmation of declared production compliance to requirements of technical regulation. Tests of product samples (one unit) are carried out in test laboratory or accredited test laboratory by the applicant's choice.

23.2.4. The applicant forms declaration of conformity and registers it by notifying principle.

23.2.5. The applicant marks a common mark of circulation if other is not established by the technical regulation.

### **23.3. Declaration of scheme 3e**

23.3.1. Scheme 3e includes following procedures:

- formation and analysis of technical documents;
- realization of industrial control;
- carrying out tests of product samples;
- acceptance and registration of declaration of conformity;
- marking a common mark of circulation.

23.3.2. The applicant undertakes all necessary measures to ensure stable production process and ensures conformity of produced production to requirements of technical regulation, forms and analyses technical documents.

23.3.3. The applicant ensures conduct of industrial inspection.

23.3.4. The applicant conducts tests of product samples for the purpose of production confirmation to requirements of technical regulation. Tests of product samples are carried out in accredited test laboratory.

23.3.5. The applicant forms declaration of conformity and registers it by notifying principle.

23.3.6. The applicant marks a common mark of circulation if other is not established by the technical regulation.

#### **23.4. Declaration of scheme 4e**

23.4.1. Scheme 4e includes following procedures:

- formation and analysis of technical documents;
- carrying out tests of production-put (one unit);
- acceptance and registration of declaration of conformity;
- marking a common mark of circulation.

23.4.2. The applicant forms and analyses technical documents.

23.4.3. The applicant conducts tests of product samples (one unit) for the purpose of confirmation of declared production compliance to requirements of technical regulation. Tests of product samples (one unit) are carried out in accredited test laboratory.

23.4.4. The applicant forms declaration of conformity and registers it by notifying principle.

23.4.5. The applicant marks a common mark of circulation if other is not established by the technical regulation.

#### **23.5. Declaration of scheme 5e**

23.5.1. Scheme 5e includes following procedures:

- formation and analysis of technical documents;
- realization of industrial control;
- carrying out tests (analysis) of type;
- acceptance and registration of declaration of conformity;
- marking a common mark of circulation.

23.5.2. The applicant undertakes all necessary measures to ensure stable production process and ensures conformity of produced production to requirements of technical regulation, forms and analyses technical documents.

23.5.3. The applicant ensures conduct of industrial inspection.

23.5.4. Body for production certification (accredited test laboratory) in accordance with its field of accreditation and on the order of the applicant through:

- research of the sample for planned manufacture of modal representative of production;
- analysis of technical documents, test of a product sample or critical components of production.

Tests results of type are indicated in conclusion (conformity certificate) and (or) report, where accredited test laboratory assesses conformity of type of production to established requirements.

23.5.5. The applicant forms declaration of conformity and registers it by notifying principle.

23.5.6. The applicant marks a common mark of circulation if other is not established by the technical regulation.

### **23.6. Declaration of scheme 6e**

23.6.1. Scheme 6e includes the following procedures:

- formation and analysis of technical documents, which must include certificate for management system (certificate copy), issued by body for certification of management systems, confirming compliance of management system to requirements, defined in technical regulations;
- carrying out inspection control;
- carrying out tests of product samples;
- acceptance and registration of declaration of conformity;
- marking a uniform mark of the circulation;
- control over stability of functioning of management system.

23.6.2. The applicant undertakes all necessary measures to ensure stable functioning of management system and conditions for manufacturing production, complied with requirements of technical regulation, forms and analyses technical documents with regard that in technical regulation one or several documents can be established, on conformity to which certification of management system is carried out.

23.6.3. The applicant ensures conduct of industrial inspection and informs body for certification of management system on all planned changes in management system.

23.6.4. The applicant carries out tests of product samples. Tests of product samples are carried out in accredited test laboratory.

23.6.5. The applicant forms declaration of conformity and registers it by notifying principle.

23.6.6. The applicant marks a common mark of circulation if other is not established by the technical regulation.

23.6.7. Body for certification of management system carries out inspection control over functioning of certificated management system.

At negative results of inspection control the applicant accepts one of the following decisions:

- to suspend conformity certificate;
- to cancel certificate of conformity.

The relevant record is made in the Uniform register of the issued certificates of conformity and the registered declarations of conformity, filed under the uniform form by the applicant.

### **24. Storage of technical documents**

Procedures of storage of technical documents, including documents, confirming compliance, are established by specific technical regulations. Generally technical



documents, including documents, confirming compliance on territory of member-states of the Customs union, have to be stored on:

production - at the manufacturer (person, authorized by the manufacturer) within not less than 10 years from the date of removal (termination) of relevant production from manufacture;

production-put (one unit) – at the seller (supplier), the manufacturer (person, authorized by the manufacturer) within not less than 10 years from the date of realisation of last product from a lot.

Documents and materials, confirming results of certification are to be stored by body for certification, that issued certificate of conformity within not less than 5 years after expiry date of certificate of conformity.

The above-mentioned documents should be presented to bodies of state control under their request.

### Modal forms of conformity assessment

Scheme of forms of conformity assessment		
Registration, examination, estimation of suitability	Conformity confirmation	State control (supervision)
-	Declaration of conformity	+
-	Certification	+
Production registration, including state products	-	+
Production registration, including state products	Declaration of conformity	+
Production registration	Certification	+
Examination, estimation of suitability	-	+
Examination, estimation of suitability	Declaration of conformity	+
Examination, estimation of suitability	Certification	+
State registration of production, manufacturing entities	-	+
State registration of production, manufacturing entities	Declaration of conformity	+
State registration of production, manufacturing entities	Declaration of conformity	+
State registration of production, manufacturing entities	Certification	+

**Modal schemes of state registration of production**

<b>Types of forms</b>			Notes	Document, confirming safety
Production tests	Assessment of production process	Inspection control		
Tests of product samples and documents examination	-	Carried out by the manufacture	Applicant – producer (manufacture), supplier (importer)	Certificate of state registration
Tests of product samples and documents examination	Production condition analysis	Carried out by the manufacture	Applicant – producer (manufacture), supplier (importer)	Certificate of state registration

**Modal schemes of certification**

Scheme No.	Scheme element			Application	Document, confirming compliance
	Test of production	Assessment of production process	Inspection control		
<b>1C</b>	Test of product samples	Production condition analysis	Tests of product samples and (or) analysis of manufacture conditions	For series-produced goods  Applicant – manufacturer, also foreign, with the existence of person, authorized by the manufacturer on the territory of the Customs Union	Conformity certificate for series-produced goods
<b>2C</b>	Test of product samples	Certification of management system	Tests of product samples and control of management system		
<b>3C</b>	Test of product samples	-	-	For product consignment (one unit)  Applicant – seller (supplier), manufacturer, also foreign	Conformity certificate for product consignment
<b>4C</b>	Test of product unit	-	-		Conformity certificate for one unit
<b>5C</b>	Tests of product project	Production condition analysis	Tests of product samples and (or) analysis of manufacture conditions	For series-produced goods, if it is impossible or difficult in full confirm compliance to requirements at tests of finished goods	Conformity certificate for series-produced goods
<b>6C</b>	Tests of product project	Certification of management system	Tests of product samples and inspection control of management system	Applicant – manufacturer, also foreign, with the existence of person, authorized by the manufacturer on the territory of the Customs Union	

Scheme No.	Scheme element			Application	Document, confirming conformity
	Test of production	Assessment of production process	Inspection control		
<b>7C</b>	Research (tests) of a type	Production condition analysis	Tests of product samples and (or) analysis of manufacture conditions	For complex products, designed for series and massive production, also in cases of planned big number of production modifications  Applicant – manufacturer, also foreign, with the existence of person, authorized by the manufacturer on the territory of the Customs Union	Conformity certificate for series-produced goods
<b>8C</b>	Research (tests) of a type	Certification of management system	Tests of product samples and inspection control of management system		
<b>9C</b>	On basis of technical documents analysis	-	-	For product consignment of restricted volume, supplied by foreign producer, or for complex products, designed for equipping firms on the territory of the Customs Union  Applicant – manufacturer, also foreign, with the existence of person, authorized by the manufacturer on the territory of the Customs Union	Conformity certificate for product consignment of restricted volume

**Modal schemes of declaration of conformity.**

Scheme No.	Scheme element			Application	Document, confirming conformity
	Test of production, test of the type	Assessment of production process	Inspection control		
<b>1D</b>	Test of product samples is carried out by the manufacturer	-	Inspection control is carried out by the manufacturer	For series-produced goods  Applicant – manufacturer from member-states of the Customs Union or person, authorized by foreign manufacturer, on the territory of the Customs Union	Conformity certificate for series-produced goods
<b>2D</b>	Test of product consignment (one unit) is carried out by the applicant	-	-	For product consignment (one unit)  Applicant – seller (supplier) from member-states of the Customs Union, or person, authorized by foreign manufacturer, on the territory of the Customs Union	Conformity certificate for product consignment (one unit)
<b>3D</b>	Test of product samples is carried out by accredited laboratory (center)	-	Inspection control is carried out by the manufacturer	For series-produced goods  Applicant – manufacturer from member-states of the Customs Union or person, authorized by foreign manufacturer, on the territory of the Customs Union	Conformity certificate for series-produced goods

Scheme No.	Scheme element			Application	Document, confirming conformity
	Test of production, test of the type	Assessment of production process	Inspection control		
<b>4D</b>	Test of product consignment (one unit) is carried out by accredited laboratory (center)	-	-	For product consignment (one unit)  Applicant – seller (supplier) from member-states of the Customs Union, or person, authorized by foreign manufacturer, on the territory of the Customs Union	Conformity certificate for product consignment (one unit)
<b>5D</b>	Test (research) of the type	-	Inspection control is carried out by the manufacturer	For series-produced goods  Applicant – manufacturer from member-states of the Customs Union	Conformity certificate for series-produced goods
<b>6D</b>	Test of product samples is carried out by accredited laboratory (center)	Certification of management system and inspection control is carried out by the body for certification of management systems	Inspection control is carried out by the manufacturer	or person, authorized by foreign manufacturer, on the territory of the Customs Union	Conformity certificate for series-produced goods