1. This section of the Handbook on Notification Requirements covers the notification obligations under the Agreement on SAFEGUARDS. It consists of the following four parts:

   - **Part I: Overview of notification requirements**
   - **Part II: Listing of the notification obligations**
   - **Part III: Relevant document(s) concerning guidelines and formats**
   - **Part IV: List of notifications since 1995**
   - **Part V: Text of the agreement**

2. For acceding countries, the deadlines for the submission of their notifications will be governed by their respective Protocols of Accession.

**Note:** The Handbook on Notification Requirements does not constitute a legal interpretation of the notification obligations under the respective Agreement(s). It has been prepared by the Secretariat to assist Members in complying with their notification obligations.
PART I
OVERVIEW OF NOTIFICATION REQUIREMENTS

1 WHAT SHOULD BE NOTIFIED?

1. **Laws, regulations and administrative procedures** relating to safeguard ("SG") measures as well as any modifications made to them need to be notified. If a Member does not have any, it is enough to submit a one sentence notification to that effect.

2. If a Member initiates any SG measure, various **actions** taken in the course of an investigation need to be notified.

2 WHICH MEMBERS SHOULD NOTIFY?

The obligations explained in this section applies to all relevant Members. See Part II to identify who the "relevant Members" are.

3 WHEN TO NOTIFY?

Most notification requirements are ad hoc, meaning that they become due only when a specific action is taken by a Member. For more information on when to notify, refer to Part II.

4 HOW TO NOTIFY?

The easiest way to notify is to send the notification **in Word format** by e-mail to the CRN (crn@wto.org). There is no need to send an official letter (e.g. paper letter with letterhead).

Please see **illustrative mock examples** listing different situations and explaining when/how to notify depending on the situation.
## PART II

### LISTING OF NOTIFICATION OBLIGATIONS

Notification obligations (Notification obligations that are no longer relevant are not indicated below)

<table>
<thead>
<tr>
<th>Notification requirements</th>
<th>Type of measure</th>
<th>Members notifying</th>
<th>When to notify?</th>
<th>How to notify?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agreement on Safeguards, Article 9.1 and footnote 2</td>
<td>Non-application of safeguard measures against a product originating in a developing country Member for reasons specified in Article 9.1.</td>
<td>All WTO Members</td>
<td>Ad hoc</td>
<td>If and when a Member imposes a SG measure.</td>
</tr>
<tr>
<td>Agreement on Safeguards, Article 12.1 (a)- initiation.</td>
<td>Initiation of an investigation.</td>
<td>All WTO Members</td>
<td>Ad hoc</td>
<td>If and when a Member initiates a SG investigation. (Notification shall be made immediately).</td>
</tr>
<tr>
<td>Agreement on Safeguards, Article 12.1 (b)- finding of serious injury or threat thereof.</td>
<td>Making a finding of serious injury or threat thereof.</td>
<td>All WTO Members</td>
<td>Ad hoc</td>
<td>If and when a Member makes such a finding. (Notification shall be made immediately).</td>
</tr>
</tbody>
</table>

1. For acceding countries, the deadlines for the submission of their notifications will be governed by their respective Protocols of Accession.
2. Note that the Committee agreed on a format for certain notifications that are not obligations as such. See document G/SG/2 and items F and G in document G/SG/1/Rev.1.
### AGREEMENT ON SAFEGUARDS

<table>
<thead>
<tr>
<th>Notification requirements</th>
<th>Type of measure</th>
<th>Members notifying</th>
<th>Periodicity</th>
<th>Comments on Periodicity</th>
<th>Formatii</th>
<th>To whom</th>
<th>Notification Symbol</th>
</tr>
</thead>
<tbody>
<tr>
<td>4. Agreement on Safeguards, Article 12.1 (c) - imposition.</td>
<td>Taking a decision to apply or extend a safeguard measure.</td>
<td>All WTO Members</td>
<td>Ad hoc</td>
<td>If and when a Member decides to impose or extend a measure. (Notification shall be made immediately)</td>
<td>Yes (G/SG/1/Rev.1, item C)</td>
<td>Committee on Safeguards</td>
<td>G/SG/N/10/*</td>
</tr>
<tr>
<td>5. Agreement on Safeguards, Article 12.4 - Provisional Measure.</td>
<td>Before taking a provisional measure.</td>
<td>All WTO Members</td>
<td>Ad hoc</td>
<td>In advance of the application of the measure. If and when a Member intends to impose a provisional measure. (Notification shall be made before taking the provisional measure)</td>
<td>Yes (G/SG/1/Rev.1, item D)</td>
<td>Committee on Safeguards</td>
<td>G/SG/N/7/*</td>
</tr>
<tr>
<td>6. Agreement on Safeguards, Article 12.5 - Results of consultations.</td>
<td>Results of consultations.</td>
<td>All WTO Members</td>
<td>Ad hoc</td>
<td>If and when a Member held consultations pursuant to Articles 12.3 and 12.4.</td>
<td>Yes (G/SG/1/Rev.1, item E(a))</td>
<td>Council for Trade in Goods (through Committee on Safeguards - See Article 12.10)</td>
<td>G/L/<em>, G/SG/N/</em></td>
</tr>
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<td>Agreement on Safeguards, Article 12.5- Mid-term review</td>
<td>Mid-term review.</td>
<td>All WTO Members</td>
<td>Ad hoc</td>
<td>If and when a Member conducted a review pursuant to Article 7.4.</td>
<td>Yes (G/SG/1/Rev.1, item E(b))</td>
<td>Council for Trade in Goods (through Committee on Safeguards - See Article 12.10)</td>
<td>G/L/<em>, G/SG/N/</em></td>
</tr>
<tr>
<td>Agreement on Safeguards, Article 12.5- compensation</td>
<td>Compensation referred to in Article 8.1.</td>
<td>All WTO Members</td>
<td>Ad hoc</td>
<td>If and when a compensation referred to in Article 8.1 was provided.</td>
<td>Yes (G/SG/1/Rev.1, item E(c))</td>
<td>Council for Trade in Goods (through Committee on Safeguards - See Article 12.10)</td>
<td>G/L/<em>, G/SG/N/</em></td>
</tr>
<tr>
<td>Agreement on Safeguards, Article 12.5- proposed suspension of concessions</td>
<td>Proposed suspensions of concessions and other obligations referred to in Article 8.2.</td>
<td>All WTO Members</td>
<td>Ad hoc</td>
<td>If and when an exporting Member proposes suspensions of concessions and other obligations referred to in Article 8.2.</td>
<td>Yes (G/SG/1/Rev.1, item E(d))</td>
<td>Council for Trade in Goods (through Committee on Safeguards - See Article 12.10)</td>
<td>G/L/<em>, G/SG/N/</em></td>
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<td>Members notifying</td>
<td>Periodicity</td>
</tr>
<tr>
<td>Agreement on Safeguards, Article 12.6</td>
<td>Laws, regulations and administrative procedures relating to SG measures. (A &quot;nil&quot; notification if there is no such Laws, regulations or administrative procedures.).</td>
<td>All WTO Members</td>
<td>One time</td>
</tr>
</tbody>
</table>

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\(\text{ii}\) Note that the Committee agreed on a format for certain notifications that are not obligations as such. See document \text{G/SG/2} and items F and G in document \text{G/SG/1/Rev.1}.

\(\text{iii}\) Members that do not have such laws, regulations or administrative procedures should submit a "nil" notification. (See \text{G/SG/N/1} paragraph 2.)
PART III

RELEVANT DOCUMENT(S) CONCERNING GUIDELINES AND FORMATS

Notification of laws and regulations under article 12.6 of the Agreement G/SG/N/1

Formats for notification of certain actions under the Agreement on Safeguards G/SG/1/Rev.1 and G/SG/2
PART IV
LIST OF NOTIFICATIONS SINCE 1995

NOTIFICATIONS UNDER ARTICLE 9.1 and footnote 2
Search documents with the symbol G/SG/N/11/*

NOTIFICATIONS UNDER ARTICLE 12.1 (a), (b), (c)
Initiation: Search documents with the symbol G/SG/N/6/*
Finding of serious injury: Search documents with the symbol G/SG/N/8/*
Imposition of a final measure: Search documents with the symbol G/SG/N/10/*

NOTIFICATIONS UNDER ARTICLE 12.4
Search documents with the symbol G/SG/N/7/*

NOTIFICATIONS UNDER ARTICLE 12.5
Search documents with the symbol G/L/*

NOTIFICATIONS UNDER ARTICLE 12.6
Search documents with the symbol G/SG/N/1/*
PART V

TEXT OF THE AGREEMENT

Agreement on Safeguards LT/UR/A-1A/8