Driving Technology Diffusion Commercially

Allison Mages Sr. Counsel, IP Policy & Procurement, GE 12 November 2014

WTO Regional Workshop



GE Works

GE works on things that matter. The best people and the best technologies taking on the toughest challenges. Finding solutions in energy, health and home, transportation and finance. Building, powering, moving and curing the world. Not just imagining. Doing. GE Works.





GAS

ENERGY MANAGEMENT

OIL &



HEALTHCARE



AVIATION



TRANSPORTATION



D. GEHRING



CAPITAL HOME &



Engaging the Best Talent Across the Globe



Brazil Technology Center Customer focused R&D Rio de Janeiro, Brazil

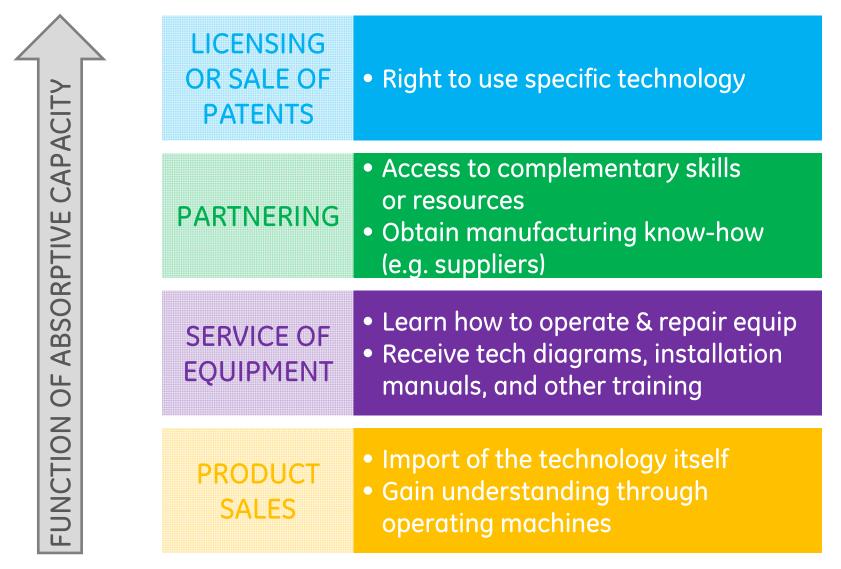


Technology diffusion – in the sense of technologies being adopted locally, knowhow being shared, and the local population and workforce using and learning how to use new and innovative technologies – is not something that occurs overnight or that can be forced upon participants.

SOURCE: Energy Sector Environmental Innovation. WEC 2011



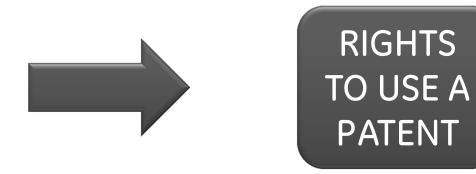
A few examples of how technology can diffuse



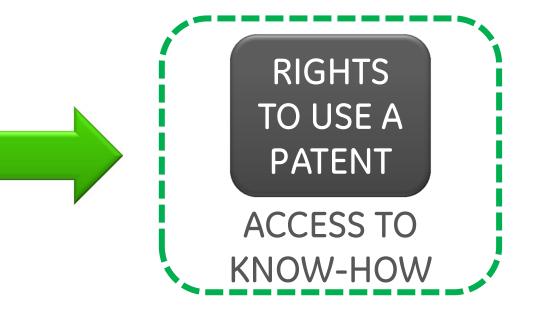


Why partnerships are more effective

A patent license provides

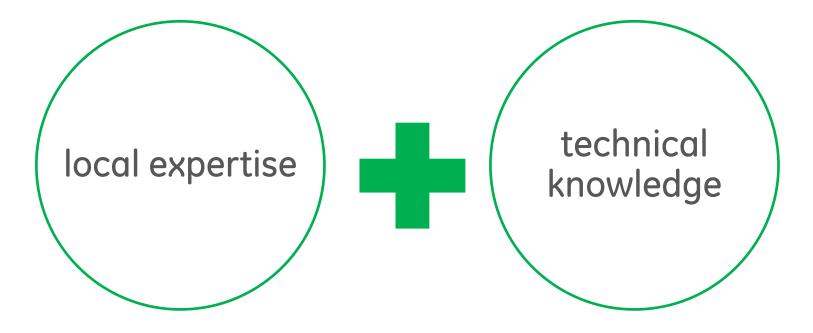


Partnerships enable

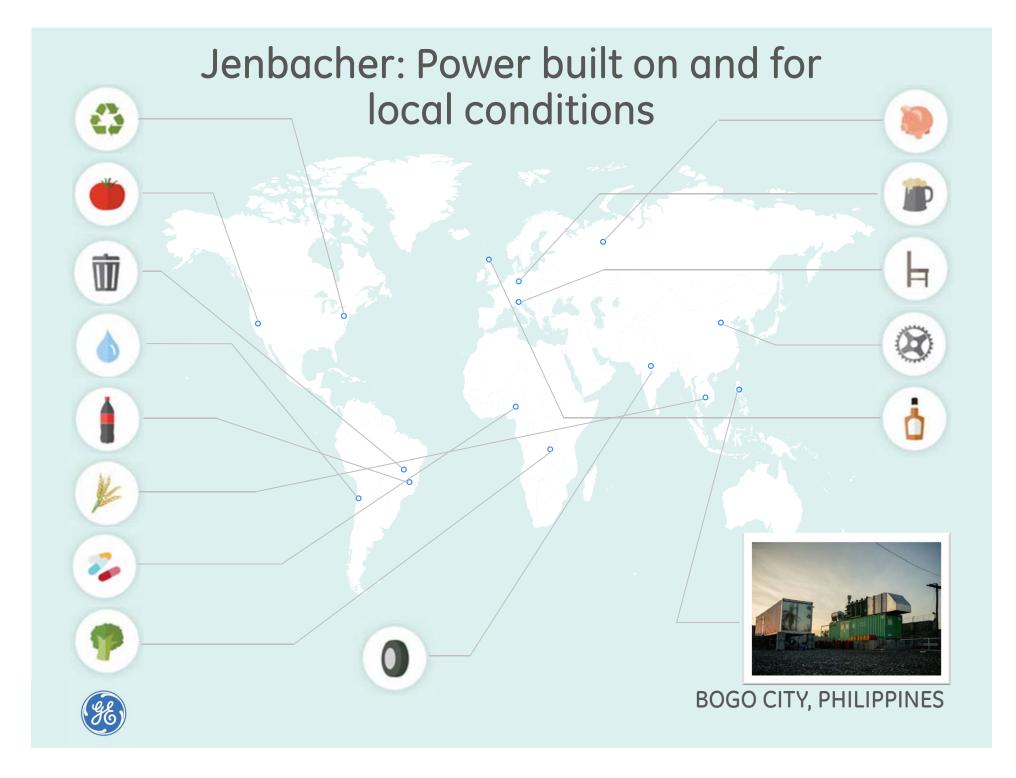




accelerating the diffusion of technology requires







Local partnership to build capacity

By establishing a local subsidiary (GE South African Technologies Limited) and developing a partnership with Transnet Rail Engineering, a stateowned South African company, we are able assemble our state of the art locomotives locally.



SOURCES: GE NEWSCENTER & GE REPORTS

ENVIRONMENTAL BENEFITS

 3 locomotives replace 4 older ones reducing fuel consumption by over 500,000 liters and reducing 1500 metric tons of CO₂ annually

CAPACITY BENEFITS

"Benefits include job creation here in South Africa, skills and technology transfer and the renewal..." *-Paul Nkuna, CEO of MIC*

"The partnership is a natural step along the path of localizing our capabilities... We're investing...because we want to participate in the social and economic transformation of the country. -Lorenzo Simonelli, President & CEO of GE Transportation



Power Africa Off-Grid Energy Challenge



stand alone cold

Seek innovative off-grid solutions to "power up" rural Nigeria and Kenya





 Stand dione cold
 storage for farmers store products
 18 kW solar powered mini-grid to reach 140 homes
 2.5 MW of electricity hydro-electric power system construct 5 solar powered water points

construction of a biodigester to produce electricity and biogas

distribute rent to MIBAWA own IndiGo lights to SUPPLIERS replace kerosene lamps



Helps find new partners

Clear contribution to partnership

Reviewing patent literature can uncover parties having complementary solutions or synergies

Predictable IPR encourages sharing IP drives & supports partnerships

Identifies what each party brings to the venture and what is new

> Provides a construct to build on success

Enables disclosure of trade secrets to speed up and enhance innovation

New inventions can be patented to be exported, licensed, etc.



Case Studies How IP facilitates technology handoffs

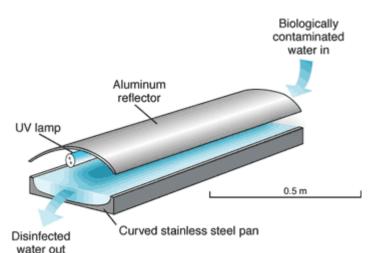


UV Waterworks: Community Scale Water Purification

- invented by Dr. Ashok Gadgil,
 @ Lawrence Berkeley National Laboratory
- patented and exclusively licensed to

waterhealth

- low cost technology, with communities investing less than USD\$10/person to get drinking water exceeding WHO quality stds
- the solution is deployed in India, Philippines, Sri Lanka, Ghana, Nigeria, and Liberia





UV Waterworks: initial business model concept

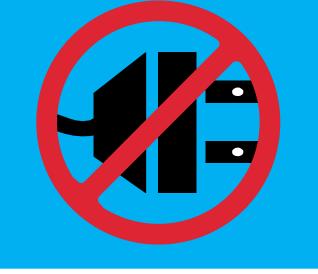
plug and play

communities would buy a unit, take it home, and "plug it in"



the reality

it doesn't work if there is no socket, no reliable power, or related infrastructure



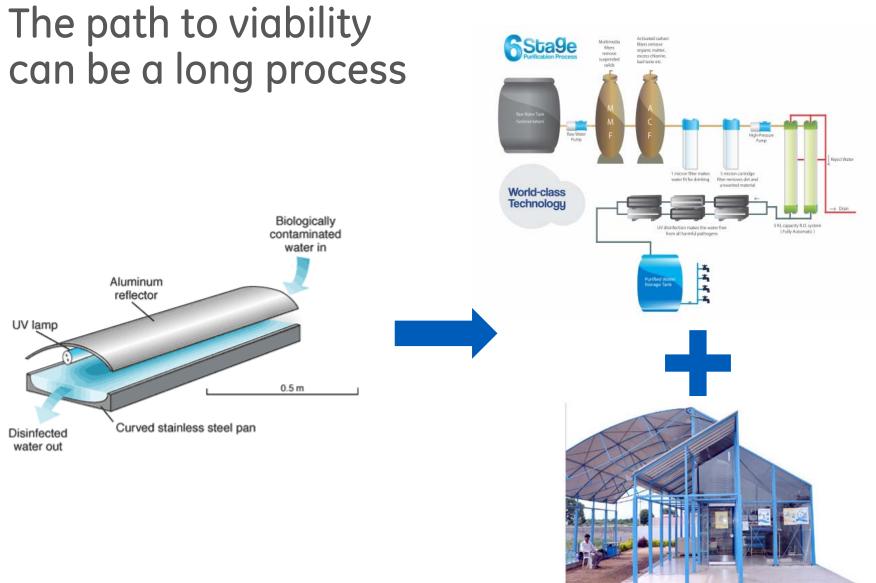


The Introduction of WaterHealth Centers

- WaterHealth International constructs modular centers (construction time in 20 days)
- Serves up to 6,000 people/day
- WaterHealth provides staffing, water testing, and maintenance
- leads to local jobs, and income generation for the community





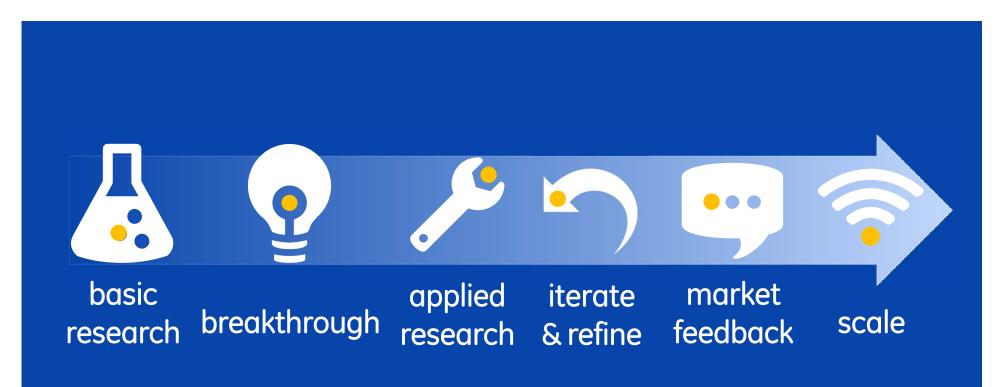




SOURCES: Science and Public Policy (2012)39 (6): 775-786, WaterHealth International

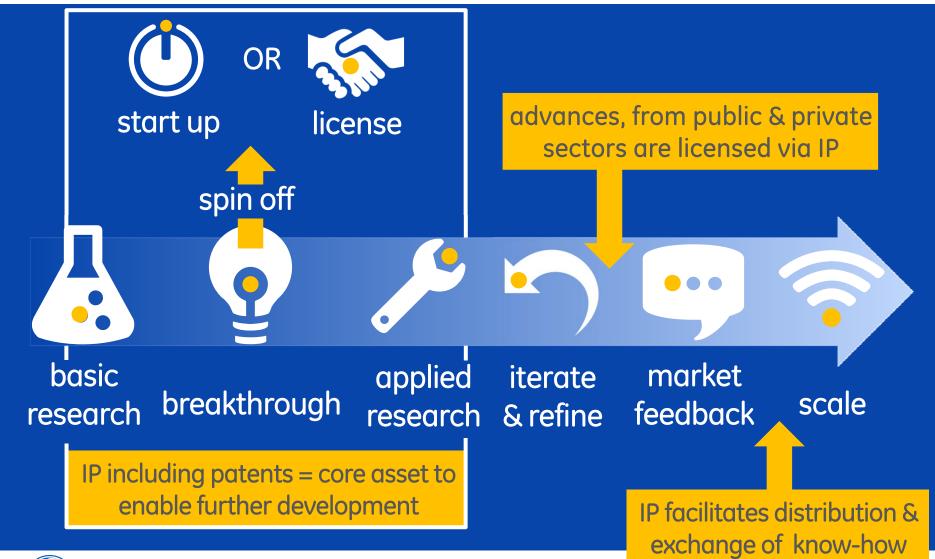
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Turning ideas into solutions





How can IP facilitate the scaling of solutions





IP handoffs enable continued solar development

What steps can we take, **together**, to accelerate the **transfer & diffusion** of technology?



Factors than can make diffusion challenging

low levels of investor confidence

Driven by weak institutional memories, lack of operating processes and stable legal regimes

shortage of technical skills Can suppliers build the parts you need? Can the local work force manufacture, operate & service offerings? Are local institutions building the right capabilities?

(often unintended) policy barriers

Do policies and other requirements match the current realities of the market?



GE Kujenga: a framework for diffusion

EMPOWER people with valuable skills

- leadership programs (technical, comm., ops, finance, etc.)
- invest in local institutions to build a pipeline
- develop suppliers

EQUIP communities with new tools and technology

- offerings that are adapted for local conditions
- build and develop infrastructure

ELEVATE ideas, lending them scale & resources to transform

- find local partners
- identify and help scale ideas that will help solve local challenges



