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# GENERAL AGREEMENT ON TARIFFS AND TRADE

Multilateral Trade Negotiations

Group "Sector Approach"

## INFORMATION ON ORES AND METALS

### Addendum

Table I.6 - List of Non-Tariff Measures Notified in the  
Category of Ores and Metals

1. The information summarized here is based on the Joint Working Group's consolidated tables (COM.IND/W/116-COM.AG/W/93 and Corr.1-5), and on the Inventory of Non-Tariff Measures (MTN/3B/1-5 and Add.1-3), these, with the one exception mentioned in paragraph 3, being the only official sources of information on non-tariff barriers in the secretariat.
2. Because of the nature of the Inventory notifications, it has not always been possible to identify the precise tariff lines affected by given measures. On the other hand, some measures apply across the board to all products or to all industrial products. These measures of general application affect, in principle, all sectors and thus have been regrouped in a separate section for possible use in different sector papers, including the present one, although it could not be established whether all the measures listed in that section are relevant for the category of ores and metals (Table I). Another section of this paper identifies, where possible, the measures of particular application to products of the latter sector (Table II). An explanation of the symbols used throughout the paper is given overleaf.
3. In addition, the European Communities, Japan and the United States have requested the secretariat to include in the documentation concerning "ores and metals" the measures listed in the communications attached in the Annex which, in the view of these delegations, restrict exports.

Symbols Used/Symboles utilisés

(a) The country abbreviations have been taken from COM.TD/W/203/Rev.1./a) / Les abréviations de noms de pays sont celles du document COM.TD/W/203/Rev.1:

ADN	Aden	KUW	Kuwait/Koweït	PRT	Portugal
AFG	Afghanistan	LAG	Laos	QTR	Qatar
ALA	Australie/Australie	LBK	Lebanon/Liban	REU	Reunion/La Réunion
ALB	Albanie/Albanie	LBR	Liberia	RHD	Rhodesie/Rhodesia
ALG	Algérie/Algérie	LIB	Libye	RJM	Roumanie/Roumanie
ANG	Angola	JES	Lesotho	RWA	Rwanda
ARG	Argentine/Argentine	LUX	Luxembourg	SFA	South Africa/Sud-Afrique du Sud
ATA	Autriche/Autriche	LMD	Antigua, St. Kitts, Névis, Anguilla, Monserat et British Virgin Islands/ Antigua, St. Kitts, Névis, Anguilla, Montserrat et les îles Vierges britanniques	SIL	El Salvador
ATL	Bahamas	FR	France	SAM	Western Samoa/Samoa-occidentale
AVS	Bermudes/Bermudes	GAB	Gabon	SAU	Studi Arabie/Arabie Saoudite
AWG	Brésil/Honduras/Honduras britannique	GAI	Gambie/Gambie	SEN	Senegal/Sénégal
BHR	Brésil/Honduras/Honduras britannique	GRN	Ghana/Ghana	SIE	Sierra Leone
BLR	Burundi/Burundi	GRN	Grenland/Greenland	SIK	Sikka
BLT	Bolivie/Bolivie	GUA	Gautemala	SIN	Singapour/Singapour
BND	Bolivie/Bolivie	GUD	Guadeloupe	SIM	Sweden/Suède
BNG	Bernard/Bermudes	CUI	Guinée/Guinée	SIV	Southern Yemen/Yémen du Sud
BOL	Bangladesh	GUY	Guyana/Guyana	SZL	Switzerland/Suisse
BON	Benin	CVE	German Democratic Republic/ République démocratique allemande	SXR	Syrie/Syrie
BPU	Bhutan/Bhoutan	GYR	Germany, Fed. Rep./ République fédérale d'Allemagne	MAC	Madagascar
BUL	Bolivie/Bolivie	HAI	Haiti/Haïti	MAU	Mauritanie/Mauritanie
BUR	Burundi	JRD	Honduras	MEX	Mexico/Mexique
BUS	Burundi/Burundi	HNG	Hong Kong/Hong-kong	MGL	Maldives/Iles Maldives
BVD	Burundi/Burundi	HUN	Hongrie/Hongrie	MNE	Eastern Malaysia/Malaisie orientale
BWE	Burundi/Burundi	IUE	Iceland/Icelande	MLI	Sudan/Soudan
BZL	Burundi/Burundi	IDN	Indonésie/Indonésie	MVL	Malawi
BZU	Burundi/Burundi	IND	Indonésie/Indonésie	MLY	Malaysia/Malaisie
BZV	Burundi/Burundi	IRL	Ireland/Irlande	MNT	Malte/Haïti
BZL	Burundi/Burundi	IRN	Iran	MNG	Mongolie/Mongolie
BUL	Bulgaria/Bulgarie	IRQ	Iraq/Irek	MOR	Morocco/Maroc
CAN	Canada	ISR	Israël/Israël	MZB	Mozambique
CHD	Chad/Tchad	ITW	Italie	MRT	Mauritius/Maurice
CHI	Chili/Cuili	IVO	Ivory Coast/Côte d'Ivoire	MVN	Martinique
CIN	People's Republic of China/ République populaire de Chine	JAM	Jamaica/Jamaïque	MUS	Mascate et Oman/ Mascate et Oman sous Régime de l'Emirat
CIR	Comores/Comores	JPN	Japan/Japon	NAM	Namibie/Namibie
CRI	Canary Islands/Iles Canaries	JOR	Jordan/Jordanie	NCL	New Caledonia/Nouvelle-Calédonie
CIT	Central African Republic/ République centrafricaine	KR	Krakow/Krakow	NPL	Nepal/Népal
CIG	Congo	LVA	Lithuania/Lithuanie	NET	Néerlandais/Pays-Bas
CCL	Colombie/Colombie	MDA	Macao/Macao	NCA	Nigeria
CCS	Costa Rica	MLD	Moldova/Moldova	NGU	New Guinée (Australie)/ Nouvelle-Guinée (Australie)
CUB	Quêz	MAR	Morocco/Maroc	NIC	Nicaragua
CIP	Cyprus/Cypre	MAR	Morocco/Maroc	NIR	Niger
CZ	Czechoslovakia/Tchécoslovaquie	MRT	Mauritius/Maurice	NNT	Netherlands Antilles/ Antilles néerlandaises
EAI	Djibouty/Djibouti	PAK	Pakistan	NOR	Norway/Norvège
DEI	Denmark/Danemark	PAN	Panama	NZL	New Zealand/Nouvelle-Zélande
DAS	Dominica Is./La Dominique	PAT	Paraguay	PAK	Pakistan
DR	Dominican Republic/ République dominicaine	PER	Peru/Perou	PHL	Philippines
EDU	Paraguay/Président	PER	Peru/Perou	POL	Poland/Pologne
ZEC	European Economic Community/ Communauté économique européenne	PHL	Philippines	ZAM	Zimbabwe/Zimbabwe

(b) The symbols used for measures have also been taken from COM.TD/W/203/Rev.1.  
In this case, however, some symbols have been added:

"GQ"	:	Global quota
"P"	:	Imports are generally prohibited or embargoed
"DL"	:	Discretionary licensing
"L"	:	Licensing (method unspecified)
"ST"	:	State trading
"XR"	:	Export restraint
"Q"	:	Quantitative
"R" and "R's"	:	Restriction(s)
"D"	:	Discriminatory, except when used in conjunction with L (licensing)
"De"	:	Discretionary
"B"	:	Bilateral
"A"	:	Agreement
"A.D.D."	:	Anti-Dumping Duty
"R"	:	Restrictive
"PREF.TAR"	:	Preferential Tariff

TABLE I

Measures of general application (Source: MTN/3B/1-5 and Add.1-3)

1. Government participation in trade:

A. Government aids:

Tax rebates or deferral: 4.-ALA\*; 33.-JP; 38.-S.AF; 49-US;  
 51.-URU;  
 Export subsidies: 9-BRZ; 50.1-US;  
 Concessional financing: 8-BL; 24-IND;  
 Differential treatment of imports: 31-JP;

B. Countervailing duties: 53-CAN; 54-NZ; 55-US;

C. Government procurement: 56-ATA; 57-BNL; 59-BRZ; 60-BRD;  
 61-CMR; 62-CAN; 63-CHL; 64-DEN; 65-FR; 66-GRC; 67-IND; 68-IT;  
 69-JP; 70-KEN; 71-MAG; 72-MLW; 73-MLY; 74-NOR; 75-PER; 76-PRT;  
 77-SAF; 78-SPN; 79-UK; 80-US;

D. Corporate policies: 84-

2. Customs and administrative entry procedures:

A. Anti-Dumping: (125-128)ALA; 130-CAN; (131-133)SAF; 136-US;

B. Valuation: 137-ARG; 138-ALA; 142-BRZ; 144-CAN; 158-IT; 156-NZ;  
 (158-159)PER; 161-SAF; (165-169)US; (170-171)-URU;

C. Customs classification: 172-ALA; (173-174)CAN; 176-US;

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\* The number refers to the inventory notification number and the letters to country abbreviations. The country is the one maintaining the measure.

D. Consular formalities and documentation: (178-179)UAR; (180-181)ARG;  
182-ATA; 182.1-BHR; 183-BOL; (185-187)BRZ; 190-CHD; (191-193)CHL;  
195-DAH; (196-197)DR; 199-GAB; 200-GYR; 202-GRC; (203-204)HAI;  
205-HND; 208-IT; 211-KUW; 212-MLI; 213-MAU; 215-NIC;  
(217-219)PER; (220-221)PHL; 221.1-QTR; 222-SE; 225-SAF;  
226-SPN; 228-TUR; 229-US; 231-URK; 231.1-YEM; 232-YU;

G. Customs formalities: 242-BRZ; 246-US;

3. Standards:

A. Industrial standards: 253-FR;  
B. Health and safety standards: 306-US;  
D. Labelling: 320-ALA; 323-CAN; 335-UK; (337-338)US; 341-URU;

4. Specific limitations:

A. Q.R.'s and licensing:

Q.R.'s: 390-CHD(D)<sup>1</sup>; 395-CNG(D); 397-DAH(D); 413-GAB(D); 427-IDA;  
436-IT(D); 438-JAM(D); 454-NZ; (461-462)N(D); 469-PRT; 471-PRT;  
474-SEN; 478-SPN; 480-SPN(D); 493-TUN;  
  
Licensing: 381-BNL; 385-BU; 386-CMR(DC); 391-CHL; 402-DEN;  
437-IVO(DC); 439-JP; 442-JP; 448-MLW(DC); 450-MLI(DC); 452-MAU(DC);  
454-NZ; 455-NIC; 469-PRT; 473-RWA; 475-SIE; 484-SWD(DC);  
490-TAZ; 491-TOG 493-TUN; 501-VOL(DC);

B. Embargoes: 550-TUN; 559-US;

D. Exchange controls: 587-BRZ; 589-CMR; 590-CHL; 591-IDA; 593-JP;

E. Discrimination from bilateral agreements: 597-ARG; 600-IND;  
601-IDA; 603-PAK; 607-SRI; 608-YUG;

F. Discrimination in payments: 610-KRR;

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<sup>1</sup>D stands for "discriminatory"; DC = "discretionary".

5. Import charges:

A. Prior import deposits: 632-CHL; 635-ICE; 643-YUG;

B. Surcharges, port taxes, statistical taxes:

Statistical taxes: 644-EGY; 647-AGR; 657-BRD; 679-IVO; 685-MAU;  
689-NIR; 693-PER; 698-RWA; 701-SEN; 706-TOG; 721-VOL;  
Port taxes: 645-EGY; 655-BRZ; 695-PER; (710-711/TOG; 715-TUR;  
(725-726)URU;

Surcharges and levies: 648-AGR; 656-BRZ; 665-GUY;

Revenue taxes and fiscal: 658-CMR; 659-CNT; 660-CHD; 661-CNG;  
678-IVO; 683-MAU; 687-NIR; 699-SEN; 705-TOG; 718-VOL;

Special import taxes: (670-671)IDA; 677-IVO; 684-MAU; 708-TOG;  
Licensing fees: 669-IND;

High consular fees: 686-MEX;

Standard tax: 690-NIR; 719-VOL;

Warehouse tax: 707-TOG;

National defence tax: 712-TUN;

Customs tax: 713-TUN;

Stamp duty: 714-TUR;

Temporary development tax: 720-VOL;

Temporary maintenance tax: 723-VOL;

Contractual tax: 722-VOL;

D. Discriminatory credit restrictions: 757-BRZ; 760-GRC; 762-IDA;  
763-JP;

E. Variable levies: 770-KRR;

F. Border tax adjustments:

Turnover tax: 784-CMR; 785-CNT; 786-CHD; 787-CNG; 788-DAH;  
797-GAB; 803-GRC; 822-MAU; 824-NIR; 827-NOR; 834-SEN; 840-SW;

Value-added tax: 789-DEN; 817-IVO; 837-SWD;

Transaction tax: 831-PRT; 840-TOG;

Production tax: 843-TUN;

Sales tax: 805-ICE;

Tax base: 777-ALA; 823-NZ;

Border tax adjustments: 795-FR;

Compensatory tax: 836-SPN; 850-VOL;

Consumption tax: 844-TUN;

Purchase tax: 808-ISR;

Excise tax: 806-IND(D);

G. Emergency action: 853-ALA; 854-NZ; 855-US;

TABLE II

Non-Tariff Measures Applied to the Products of "Ores and Metals"

Description	BTN No.	Measures applied ("**" means the measure is also contained in JWG tables)
<u>Ores and metals:</u>		
Ore and metal waste	26.01,03	(440-441)JP(DL);* <sup>1/</sup> 628.1-ALA(other); 811-IT (Turnover tax);
	28.20	-
	73.03	404.2-European Coal and Steel Community (ECSC) (Q.R's);
Iron and steel		30.1-IT (Rebate of duties on a wide range of products); 377-BNL(B.Q.); 404.1-GYR(ECSC Rules); 411-FR(BQ); 415-GYR(BQ); 433-IT(BQ); 477-SAF(Import R's); 487-SWD (Q.R's); 596-EGY(D.B.A); 613-JP(XR); 641-TUR(Advance Deposit); 649-ARG(Special tax); 667-ICE(Foreign exchange fees);
Unworked	73.01	91-UK (B.I.S.C. Monopoly); 116-PAK (State trading); 123-TUN(ST); 404.2-ECSC(Q.R's); 487-SWD(Q.R.); 503-YUG(Q); 618-US (Export restriction); 811-IT(Turnover tax); FR(BQ, DL)*
	73.04.07	116-PAK(ST); 377.1-EEC(D.Q.R.);
Ferro-alloys	73.02	377.1-EEC(D.Q.R.); 404.2-ECSC(Q.R.); 435-IT(D.R's); 487-SWD(Q.R.); 811-IT(Turnover tax); 812-IT(Compens. tax); FR(DL);*
Semi-manufactured products	73.08-18	93-US (labour Unions' Activity to restrict imports); 134-SPN(A.D.D. on 73.11, 13); 271-US(Tests on steel); 363-DEN(BQ);* 377.1-EEC(D.Q.R); 404.2-ECSC(QR); 408-FIN (D.Q.R.); 435-IT(D.Q.R.); 436-JP(BQ);* 445-KOR(Restr. Imp. Contr.); 476-SIN(Q.R.); 487-SWD(QR); 494-TUR(L,Q,P,); 505-EGY(P); 629.4-UK(Other); 811-IT(Turnover tax); 812-IT(Compens. tax);
Non-ferrous metals unwrought		243-Fr (Customs Proced); 367-EGY(Q); 372.1-ATA(D.Q.R.);

<sup>1/</sup> Inventory number/country maintaining measure/measure applied.

TABLE II (cont'd)

Description	BTN No.	Measures Applied ("*" means the measure is also contained in JWG tables)
Copper	74.01-02	-
Nickel	75.01	-
Aluminium	76.01	4-ATA(Payroll tax rebate and market development allowance); 44-UK(Investment grants); 106-IND(ST); 377-BNL(B.C.); 377.1-EEC(D.Q.R); 415-GYR(BQ); 433-IT(BQ); 496-UK(RL);
Magnesium	77.01	377.1-EEC(D.Q.R);
Lead	78.01	377.1-EEC(D.Q.R);
Zinc	79.01	106-IND(ST); 372.1-ATA(DQR); 377.1-EEC(D.Q.R.); 570-BRZ(Pref.Tar.);
Tin	80.01	106-IND(ST);
Non-ferrous metal products		369-ARG(Q); 618-GHN(Q); 444-KEN(Q); 494-TUR(L,Q,P);
Copper	74.03.08	116-PAK(ST); 372.1-ATA(DQR); 494-TUR(L,Q,P); 505-EGY(P);
Nickel	75.02.05	372.1-ATA(D.Q.R);
Aluminium	76.02.07	116-PAK(ST); 372.1-ATA(DQR); 377.1-EEC(DQR); 411-FR(BQ); 415-GYR(BQ); 433-IT(BQ); 487-SWD(QR); 494-TUR(L,Q,P); 496-UK(R.L.); 505-EGY(P);
Lead	78.02.05	372.1-ATA(DQR); 377.1-EEC(DQR); 505-EGY(P);
Zinc	79.02.04	363-GYR(BQ); * 376-BNL(P); * 377.1-EEC(DQR); 379-BNL(P)*; 494-TUR(L.Q.P); 511-BEN(P);
Tin	80.02.05	107-IDA(ST) tinplate;
Other	77.02.04	106-IND(ST);

ANNEX

Communication by the Commission of the European Communities  
dated 28 May 1975

I am sending you details - see below - of a number of measures which tend to restrict exports and which we should like to be included among the non-tariff barriers to be mentioned in this study.

We are not at this time seeking to make a formal notification of these or other export restrictive measures for inclusion in the NTB catalogue. This is a more general issue which is still under consideration in Brussels. Since, however, the sector study is being prepared now, before the wider issue of how export restrictions should be tackled in the MTN is resolved, it seems useful to include measures of this type in this sector in advance of any more general decision.

- |  |   |
|--|---|
| BTN 26.01 - metallic ores                                | - Quantitative restrictions imposed by Australia, Turkey and India on chromium ores. Restrictions are also maintained by Japan on tungsten. The Jamaican Government imposes high taxes which have a restrictive effect on exports of aluminium ores and bauxite. In general, both the Australian and Canadian Governments are pursuing policies whereby links would be established between export of ores and additional processing in the country. |
| BTN 28.20 - aluminium oxide                              | - High export tax in Jamaica (see above).   |
| BTN 73.02 - ferro alloys                                 | - The quantitative restrictions on chromium ores (see above) affect these products also. Japan maintains restrictions on ferro molybdenum.  |
| BTN ch.74 - copper products)<br>ch.75 - nickel products) | - Quantitative restrictions and export prohibitions are maintained by Japan.  |

ANNEXCommunication by Japan  
dated 9 June 1975

I wish to refer to the sector study on mineral ores and metals which Secretariat was requested to make at the last meeting of the group "sector approach".

I have been instructed to send you a list of measures that restrict exports which we wish to be included among the non-tariff measures to be shown in the above-mentioned study.

The list that follows does not include measures taken in the past nor is it meant to be exhaustive. We are not seeking to make a formal notification of these or other export restrictions for inclusion in the NTB inventories at this juncture.

Country	Product	Measures
1. Australia	All minerals	Regime of export approval
2. Canada	Ferrous scrap	Export control
3. EC	Ferrous scrap	Control exercised through export licenses
4. EC	Scraps and waste of copper, aluminium lead	Export quota
5. India	Ores of chromium	Export control

ANNEXCommunication by the United States  
dated 10 June 1975

I am enclosing a partial list of non-tariff measures which my Delegation believes would be appropriate for inclusion in the metals sector study which you are now preparing in connection with the Multilateral Trade Negotiations. The list, which is illustrative but not exhaustive, comprises a number of export-restrictive measures and policies which to our knowledge are now in force or have been in force. Since they affect trade in particular metals products, we believe the measures identified are clearly germane to the study now underway.

As far as we are aware, none of these measures has been notified for inclusion in the GATT NTB inventory, although some delegations may wish to do so at some later date. The listing of a measure here implies no judgment whatever as to its consistency with GATT provisions. In any case we believe the present study should encompass as wide a range of relevant measures and issues as is feasible in order to permit a thorough evaluation of the possibilities offered by the sectoral approach as a complementary technique in the MTN. Therefore, we suggest that the measures listed be incorporated into the metals sector study with a view to assessing their significance for trade in that sector.

PARTIAL LIST OF  
EXPORT-RESTRICTIVE MEASURES  
CURRENTLY OR FORMERLY IN FORCE

I. Iron and Steel

<u>Country</u>	<u>Product</u>	<u>Type of Restriction</u>
Austria	Ferrous Scrap	Authorization (conditional)
Canada	Ferrous Scrap	Permit
Colombia	Iron and Steel Products	Prohibition
Denmark	Ferrous Scrap	Prohibition
EEC	Ferrous Scrap	License
Finland	Ferrous Scrap	License
Ireland	Ferrous Scrap	Prohibition
New Zealand	Ferrous Scrap	Authorization (conditional)
Nigeria	Ferrous Scrap	Prohibition
Norway	Ferrous Scrap	License
Sweden	Ferrous Scrap	License
UK	Ferrous Scrap	Prohibition
US	Ferrous Scrap	Quota

II. Copper

Australia	Copper Products	Authorization (conditional)
Austria	Waste & Scrap	Authorization (conditional)
EC	Waste	Quota
Indonesia	Scrap	Export Tax
Ireland	Scrap	Prohibition
Japan	Ingots & Scrap	Prohibition
Philippines	Copper Metal	Export Tax
UK	Scrap	Prohibition
US	Scrap	License

III. Aluminum

<u>Country</u>	<u>Product</u>	<u>Type of Restriction</u>
Austria	Waste & Scrap	Authorization (conditional)
Denmark	Ingots & Waste	Prohibition
Ireland	Scrap	Prohibition
Spain	Unwrought and Wrought, Waste & Aluminum Products	License
UK	Waste & Scrap	Prohibition

IV. Nickel

Austria	Scrap & Waste	Authorization (conditional)
Japan	Ingots, Scrap	QR
Norway	Scrap	License
UK	Metal & Alloys	Quota
US	Scrap, Wrought, Unwrought, alloys, anodes	License

V. Lead/Zinc

Austria	Scrap & Waste	Authorization (conditional)
EC	Lead Waste & Scrap	Quota
Denmark	Lead Waste & Scrap	Prohibition
Ireland	Scrap	Prohibition
UK	Waste & Scrap	Prohibition

VI. Tin

Austria	Waste & Scrap	Authorization (conditional)
UK	Ash & Residue	Prohibition