

**MULTILATERAL TRADE
NEGOTIATIONS
THE URUGUAY ROUND**

RESTRICTED

MTN.GNG/NG9/W/2/Rev.1
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Group of Negotiations on Goods (GATT)
Negotiating Group on Safeguards

**INVENTORY OF ARTICLE XIX ACTIONS AND OTHER
MEASURES WHICH APPEAR TO SERVE THE SAME PURPOSE**

Background Note by the Secretariat

1. During its first meeting held on 10 March 1987, the Negotiating Group agreed that the secretariat should update and reorganize the inventory of Article XIX actions and other measures which appear to serve the same purpose (Spec(82)18/Rev.3 dated 22 May 1984 and L/6087 dated 28 November 1986) after consulting with delegations (MTN.GNG/NG9/1, para.12).

2. The secretariat has revised and updated the list, which is attached to this note. It is issued on the sole responsibility of the secretariat. Delegations have been invited to verify, correct or complete the information in the lists, but such information, and the categorization of particular measures, are not definitive and remain subject to further comments.

3. Annex A is a list of measures notified under Article XIX which are still in force. Annex B(i) is a list of export restraint arrangements, including voluntary export restraints, orderly marketing arrangements, export forecasts, basic price systems, industry-to-industry arrangements, etc. Annex B(ii) is a list of certain other measures of a limitative nature, affecting all suppliers, taken since 1975 and still in force, which are known to the secretariat. Some measures in Annex B were notified to the GATT under the procedures of the Understanding Regarding Notification, Consultation, Dispute Settlement and Surveillance, while others were based on published source of information.

ANNEX AMEASURES NOTIFIED UNDER ARTICLE XIX WHICH ARE STILL IN FORCE

Item No.	Date introduced	Contracting party	Product ¹	Measure taken	Affected countries/ compensation, retaliation	References
1	4.9.58	Germany	Hard coal and hard coal products	Repeal of general licence from countries outside the ECSC. Further contracts subjected to individual licensing.	Norway, United Kingdom United States; <u>compensation was offered</u>	L/855, L/920
2	14.12.61	Nigeria	Cement	Import licences prohibited, except for contracts concluded prior to 14.12.61.	Germany, Israel, United Kingdom	L/1781
3	2.2.67	Spain	Synthetic rubber	The tariff heading was subdivided into two; a 15% provisional customs duty was imposed on synthetic rubber based on polybutadiene.	United States (<u>compensated 21.3.69</u>), Canada (<u>compensated 12.11.70</u>) and EEC (<u>compensated 4.3.70</u>)	L/2820 & Add.1-4 L/3323 & Add.1, L/3375
4	21.4.67	Australia	Used 4-wheel drive vehicles	Quantitative restrictions imposed	United States	L/2787 NTM Inventory

¹The product description is in some cases abbreviated.

Item No.	Date introduced	Contracting party	Product	Measure taken	Affected countries/ compensation, retaliation	References
5	19.5.69	Italy ¹	Raw silk	The notification stated, <u>inter alia</u> , that the EEC Council saw fit (in 1968) to support the Italian efforts by deciding to take, <u>inter alia</u> , the following action: to reintroduce, over the period 1.1.70 to 31.12.76, the customs duty on raw silk already laid down in the Common External Tariff and to establish a community	-	L/3231 & Add.1
6	1.3.75	Australia	Certain apparel	Additional duties in excess of a tariff quota	Canada, EEC, Egypt, Hong Kong, India, Japan, Pakistan, Romania, Sweden, Switzerland, United States	L/4162 & Add.1-2, C/M/103, 105, 114
7	1.7.76	Australia	Knitted and woven dresses	Additional specific duties for imports in excess of tariff quota, applied to import clearances after 1.7.76	-	L/4364

¹ Adopted at Community level. The partial removal in August 1969 concerned silk waste.

Item No.	Date introduced	Contracting party	Product	Measure taken	Affected countries/ compensation, retaliation	References
8	1.3.78	Australia	Wool worsted yarns	Global tariff quotas (additional specific duty). Base period for individual importer's quota entitlements will be the twelve-month period ending 30 November 1977. Initial quota allocations for six month period beginning 1 March 1978 valid for twelve months.	EEC	L/4659 & Add.1-4
9	1.12.81	Canada	Non-leather ¹ footwear	Global quotas	EEC, United States, LDCs (Korea); <u>retaliation proposed twice by EEC (see Add.8 and 21)</u>	L/5263 & Add.1-30
10	9.7.82	Canada	Leather Footwear ²	Global quota; later exemption of higher priced footwear (see Add.9) and Add.22)	EEC, Brazil, Spain, US; <u>retaliation proposed twice by EEC (see Add.3/Rev.1)</u>	L/5351 & Add.1-33
11	13.10.82	EEC	Dried grapes	Compensatory tax	Australia, US	L/5399 & Add.1-24
12	1.4.83	US	Heavyweight motorcycles	Increased duties	Japan	L/5433 & Add.1-15

¹ Partial termination 30.11.85 (see Add.19 and 25)² Partial termination 30.11.85 and 1.4.86 (see Add.20, 27 and 30)

Item No.	Date Introduced	Contracting party	Product	Measure taken	Affected countries/compensation, retaliation	References
13	20.7.83	US	Specialty steel	Additional tariffs and quotas	EEC, Brazil, Korea and others (OMAs concluded with Austria, Argentina, Canada, Japan, Poland, Spain); <u>action under Article XIX:3(a) by Canada concerning quota restriction (see Add.10 and 31) and EEC covering tariff and quota restriction (see Add.15, 50 + 74)</u>	L/5524 & Add.1-96
14	19.7.83	Australia	Certain filament lamps	Increased duties	Hungary, US, others	L/5526 & Add.1-14
15	20.4.84	EEC (France)	Certain electronic piezo-electric quartz watches with digital display	Global quotas	Hong Kong, Japan, Korea Macao	L/5645 & Add.1-16
16	25.7.84	Chile	Sugar	Tariff surcharge	-	L/5672 & Add.1-3
17	2.11.84	South Africa	Certain footwear	Increased duties; suspension of existing binding	-	L/5725
18	27.11.84	Chile	Wheat	Additional specific duties	-	L/5861 & Add.1-4
19	18.6.85	EEC	Morello cherries	Countervailing charge on imports below a minimum price	Hungary	L/5841 & Add.1-3

Item No.	Date introduced	Contracting party	Product	Measure taken	Affected countries/ compensation, retaliation	References
20	9.8.85	South Africa	Malic Acid	Increased duties; suspension of existing binding	-	L/5860
21	28.9.85	Chile	Edible vegetable oils	Increased duties	-	L/5935 & Add.1-2
22	17.1.86	EEC	Provisionally preserved raspberries	Countervailing charge	-	L/5957
23	19.4.86	EEC	Sweet potatoes	Suspension of issue of import certificate	-	L/5988
24	June '86	South Africa	Tall oil fatty acids Certain Pipettes, flasks etc. Certain high carbon steel wire Certain sparking plugs	Increased duties	-	L/6002
25	19.3.87	Austria	Broken rice	Quotas	EEC (Italy)	L/6144
26	30.3.87	EEC(Spain)	Certain steel products	Quotas	-	L/6179

ANNEX B(i)

EXPORT RESTRAINT ARRANGEMENTS INCLUDING VOLUNTARY
EXPORT RESTRAINTS, ORDERLY MARKETING ARRANGEMENTS, EXPORT FORECASTS,
BASIC PRICE SYSTEMS, INDUSTRY-TO-INDUSTRY ARRANGEMENTS, ETC.

Importing Country/ Exporting Country	Product	Major Element of Restraint	Duration
<u>STEEL AND STEEL PRODUCTS</u>			
EEC/Austria	Steel	Consulting arrangement	1987
EEC/Brazil	Steel	Price monitoring system	1987
EEC/Bulgaria	Steel	Price monitoring system	1987
EEC/Czechoslovakia	Steel	Price monitoring system	1987
EEC/Finland	Steel	Consulting arrangement	1987
EEC/Hungary	Steel	Price monitoring system	1987
EEC/Japan	Steel products	Voluntary Export Restrictions	1987
EEC/Korea	Steel	Export quota and basic price system	1987
EEC/Norway	Steel	Consulting arrangement	1987
EEC/Poland	Steel	Price monitoring system	1987
EEC/Romania	Steel	Price monitoring system	1987
EEC/Venezuela	Steel	Price monitoring system	1987
United States/Argentina	Steel and steel products	Global arrangements on steel specifying export quotas	1985-1989

Importing Country/ Exporting Country	Product	Major Element of Restraint	Duration
United States/Australia	Steel and steel products	Global arrangements on steel specifying export quotas	1984-1989
United States/Austria	Steel and steel products	Global arrangements on steel specifying export quotas	1985-1989
United States/Brazil	Steel and steel products	Global arrangements on steel specifying export quotas	1984-1989
United States/Bulgaria	Steel and steel products	Global arrangements on steel specifying export quotas	1985-1989
United States/Czechoslovakia	Steel and steel products	Global arrangements on steel specifying export quotas	1985-1989
United States/EEC	Semi-finished	Export quota: 600,000 short tons in 1986	1985-1989
United States/EEC	Steel and steel products	Global arrangements on steel specifying export quotas	1984-1989
United States/Finland	Steel and steel products	Global arrangements on steel specifying export quotas	1984-1989
United States/GDR	Steel and steel products	Global arrangements on steel specifying export quotas	1985-1989
United States/Hungary	Steel and steel products	Global arrangements on steel specifying export quotas	1985-1989
United States/Japan	Steel and steel products	Global arrangements on steel specifying export quotas	1984-1989

Importing Country/ Exporting Country	Product	Major Element of Restraint	Duration
United States/Korea, Rep of	Steel and steel products	Global arrangements on steel specifying export quotas	1984-1989
United States/Mexico	Steel and steel products	Global arrangements on steel specifying export quotas	1984-1989
United States/Poland	Steel and steel products	Global arrangement on steel specifying export quotas	1985-1989
United States/Portugal	Steel and steel products	Global arrangement on steel specifying export quotas	1986-1989
United States/Romania	Steel and steel products	Global arrangement on steel specifying export quotas	1985-1989
United States/South Africa	Steel and steel products	Global arrangement on steel specifying export quotas	1984-1989
United States/Spain	Steel and steel products	Global arrangement on steel specifying export quotas	1984-1989
United States/Venezuela	Steel and steel products	Global arrangement on steel specifying export quotas	1985-1989
United States/Yugoslavia	Steel and steel products	Global arrangement on steel specifying export quotas	1986-1989
United States/Taiwan	Steel	Export quota: 20,000-25,000 metric tons per month	1987

Importing Country/ Exporting Country	Product	Major Element of Restraint	Duration
<u>MACHINE TOOLS</u>			
EEC/Japan	NC lathes and machining centres	Unilateral minimum price monitoring system	1987
EEC(UK)/Japan ¹	NC lathes and machining centres	Industry-to-industry arrangement	1987
United States/Japan	Machine centres, lathes, punching and shearing machines and milling machines	Voluntary restraint arrangement	1986-1991
United States/EEC(FRG) ²	Machine tools	US unilateral monitoring with reference level	1987
United States/Switzerland ²	Machine tools	US unilateral monitoring with reference level	1987
United States/Taiwan	Machining centres, lathes and milling machines	Voluntary restraint arrangement	1987
<u>AUTOMOBILES AND ROAD TRANSPORT EQUIPMENT</u>			
Canada/Japan	Automobiles	Export quota: 240,000 vehicles in 1986	1986-1987
EEC/Japan	Automobiles	Monitoring system (Unilateral export moderation)	1987
EEC(Italy)/Japan ³	Motorcycles up to 380cc: 1,800 units	Import quota	1977-
EEC/Japan	Forklift trucks	Monitoring system	1987

Importing Country/ Exporting Country	Product	Major Element of Restraint	Duration
EEC(France)/Japan ³	Automobiles	Import restrictions through administrative guidance to importers: ceiling at 3% of market	1977-
EEC(France)/Japan	Motorcycles of a cylinder capacity of 50cm ³ or less	Import restriction through surveillance system and administrative guidances to importers	1982-
EEC(Italy)/Japan ³	Jeeps and other automobiles	Import quota: 3,300 units for 1986	1970-
EEC(UK)/Japan ³	Automobiles	Industry-to-industry arrangement (ceiling at 11% of estimated UK sales)	1980-
EEC(UK)/Japan ³	Light commercial vehicles up to 3 1/2 tons	Industry-to-industry arrangement (ceiling at 11% of estimated UK sales)	1975-
EEC(UK)/Japan ³	Heavy commercial vehicles over 3 1/2 tons	Industry-to-industry understanding that shipments will not be made	1975-
United States/Japan	Passenger cars	Auto-limitation of 2.3 million cars for the current fiscal year	1981-1987
<u>ELECTRONIC PRODUCTS</u>			
EEC/Japan ⁴	Colour TV sets	Monitoring system	1983-1986
EEC/Japan ⁴	Colour TV tubes	Monitoring system	1983-

Importing Country/ Exporting Country	Product	Major Element of Restraint	Duration
EEC/Japan ⁴	Video tape recorders	Monitoring system (Auto-limitation of 1.7 million units a year on a final product basis)	1983-1985
EEC(Italy)/Japan	Car radios, hi-fi radios, radio recorders, TV sets, cameras and antennae	Import quota set in US \$	1970-
EEC(France)/Japan	Video tape recorders	Visa administratif préalable	1983-
EEC(UK)/Korea, Rep. of	Television sets (colour & B/W)	Industry-to-industry arrangement	1985-
EEC/Korea, Rep. of	VTR	A posteriori community surveillance	1986-1987
United States/Japan	Semi-conductors	Government-to- government arrangement specifying price undertakings and market share forecasts	1986-1991

FOOTWEAR

Canada/Korea, Rep. of	Footwear not covered by global quota	Unilateral export restraint	1986-1987
Canada/Taiwan	Footwear not covered by global quota	Unilateral export restraint	1987
EEC(UK)/Korea, Rep. of ⁵	Footwear	Industry-to-industry arrangement	1977-
EEC/Korea, Rep. of	Footwear	Industry-to-industry arrangement	1982

Importing Country/ Exporting Country	Product	Major Element of Restraint	Duration
TEXTILES (arrangements concluded outside the MFA)			
Canada/Maldives	Textiles and clothing	Export quota	1986-1990
Canada/Vietnam	Clothing	Export quota	1986-1991
EEC/Egypt ⁶	Cotton thread	Indicative export quota	1987
EEC/Egypt ⁶	Cotton fabrics	Indicative export quota	1987-1989
EEC/Malta ⁶	Textile products	Indicative export quota	1987
EEC/Turkey ⁶	Textile products	Indicative export quota	1987
EEC/Tunisia ⁶	Woven cotton fabrics and trousers	Indicative export quota	1987-1989
EEC/Morocco ⁶	Apparel	Indicative export quota	1987-1989
Japan/Pakistan	Cotton goods	Export quotas	1987-
Japan/Korea, Rep. of	Certain apparel	Request for restraint	1987
Japan/Korea, Rep. of	Silk yarn and fabrics	Export quota	1986-1987
Japan/Korea, rep. of	Raw silk	Export quota	1974-
Japan/China	Silk yarn	Export quota	1986-1987
United States/Costa Rica	Textiles	Export quota	1984-1987
United States/Haiti	Textiles and apparel	Guaranteed access levels	1987-1989
United States/Maldives	Wool sweaters	Export quota	1985-1991

Importing Country/ Exporting Country	Product	Major Element of Restraint	Duration
United States/Mauritius	Certain cotton and non-MFA textile products	Export quota	1986-1990
United States/Taiwan	Textiles and apparel	Growth rate of exports	1986-1991
United States/Trinidad and Tobago	Textiles and apparel	Guaranteed access levels	1986-1989
<u>AGRICULTURAL AND FOOD PRODUCTS⁷</u>			
EEC/Argentina, Australia, Austria, Bulgaria, Czechoslovakia, Hungary, Iceland, New Zealand, Poland, Romania and Yugoslavia	Live sheep or goats and meat thereof	Export quota	1980-
EEC/Austria, Finland, Norway	Cheese	Discipline in reciprocal trade	1985-1986
EEC/Chile/other non-member countries	Live sheep or goats, and meat thereof	Import restrictions for countries with which VERS were not negotiated	1980-
EEC/Korea, Rep. of	Canned mushrooms	Unilateral quota	1978-
EEC/New Zealand	Butter	Import quota	1986-1988
EEC/Thailand	Manioc	Export restraint	1987-(1990)
EEC/Uruguay	Mutton and Lamb	Export restraint	1985-1988
Japan/Korea, Rep. of	Tuna	Voluntary export restraints	1976-
<u>OTHER</u>			
EEC(UK, BNL)/Korea, Rep. of	Metal flatware	Industry-to-industry arrangement	1987

Importing Country/ Exporting Country	Product	Major Element of Restraint	Duration
EEC(Denmark)/Poland	Porcelain	Voluntary export restraints	1987
EEC/Sweden ⁸	Kraftliner	Minimum price undertaking	1986-
Norway/Korea, Rep. of	Leather apparel	Voluntary export restraints	1978-1989
Norway/Korea, Rep. of	Ceramic ware	Unilateral quota	1985-
United States/Korea, Rep. of	Stuffed toys, pianos, leather bags, fishing rods, tarpaulin products and brassware	Auto-limitation	1987-

¹ The importing countries concerned consider this entry to be premature in the absence of agreement on the notifications of actions other than those of governments.

² Measures objected to by exporting countries.

³ Arrangement applies irrespective of arrangements concluded by Japan with the EEC at the Community level.

⁴ Superseded by unilateral monitoring on the part of the exporting country.

⁵ Based on information received from the delegation of Korea. The importing country concerned considers this entry to be premature in the absence of agreement on the notifications of actions other than those of governments.

⁶ In the context of an Administrative Co-operation Agreement.

⁷ Excludes some 42 long-term agreements covering shipments of guaranteed quantities of wheat and coarse grains.

⁸ An understanding between EEC Commission and a few Swedish companies to respect certain minimum price of the exports of the product.

ANNEX B(ii)

OTHER MEASURES OF A LIMITATIVE NATURE, AFFECTING
ALL SUPPLIERS, TAKEN SINCE 1975, AND STILL IN FORCE,
WHICH ARE KNOWN TO THE SECRETARIAT

Country	Product	Measure	Duration
Canada	All steel products (carbon and speciality steel)	Prior import/export permits required through monitoring system (permits issued freely)	1987-1990
EEC (France)	Tunny for industrial purposes	Embargo	1975-
EEC	Cultivated mushrooms in brine	Import licences	1980-
EEC	Preserved mushrooms	Protective measure to prevent circumvention of measures applying to mushrooms in brine and preserved cultivated mushrooms	1981-
Japan	Certain silk and fibre fabrics, ropes and cables	Prior import permission	1980-