

**MULTILATERAL TRADE
NEGOTIATIONS
THE URUGUAY ROUND**

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Group of Negotiations on Services

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COMMUNICATION FROM JAPAN

Draft Schedule of Japan concerning
Initial Commitments on Trade in Services

Revision

The following communication is circulated at the request of Japan to members of the Group of Negotiations on Services.

Note:

The attached draft schedule is subject to further modification.

1. Numbers indicated in each sectoral commitment in parenthesis are reference to the GATT's Secretariat *Services Sectoral Classification List* (Document MTN.GNS/W/120, dated 10 July 1991) and the UN Provisional Central Product Classification (Statistical Papers Series M No. 77, Provisional Central Product Classification, Department of International Economic and Social Affairs, Statistical Office of the United Nations, New York, 1991).
2. Each key to the modes of supply (1), (2), (3) and (4) indicated in each sectoral commitment corresponds respectively to the supply of services defined under paragraph 2 (a), (b), (c) and (d) of Article I.
3. Asterisk (*) attached to "None" in the column relating to Article XVII indicates that measures inconsistent with Article XVII are inscribed in the column relating to Article XVI in accordance with paragraph 2 of Article XX.
4. In sectoral commitments, where "Unbound" is specified under the mode of supply (4), this statement shall supersede the commitment undertaken under the mode of supply (4) of ALL SECTORS.

PART I

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
ALL SECTORS	<p>(4) Unbound except for measures concerning the entry and temporary stay of a natural person who falls in one of the following categories:</p> <p>(a) A natural person who has been employed by a juridical person of a Member other than Japan for a period of not less than one year immediately preceding the date of his application for the entry and temporary stay in Japan, and who is being transferred, for a period not exceeding 5 years, to a branch office or a juridical person constituted or registered in Japan owned or controlled by the aforementioned juridical person of a Member other</p>	(4) None*	

Key: (1) Cross-border supply
 (2) Consumption abroad
 (3) Commercial presence
 (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	<p>than Japan, provided that he will be engaged in one of the following activities:</p> <ul style="list-style-type: none"> <li data-bbox="438 1209 527 1518">i) Activities to direct a branch office as its head; <li data-bbox="560 1205 722 1518">ii) Activities to direct a juridical person as its board member or auditor; <li data-bbox="747 1220 901 1534">iii) Activities to direct one or more departments of a juridical person; <li data-bbox="925 1209 1250 1518">iv) Activities which require technology and/or knowledge at an advanced level pertinent to physical sciences, engineering or other natural sciences^b; and 		
<p>Key: (1) Cross-border supply (2) Consumption abroad</p>	<p>(3) Commercial presence (4) Presence of natural persons</p>		

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	<p>v) Activities which require knowledge at an advanced level pertinent to jurisprudence, economics, business management, accounting or other humanities sciences².</p>		
(b)	<p>A natural person who has been employed by a juridical person of a Member other than Japan or has been a partner in it for a period not less than one year immediately preceding the date of his application for the entry and temporary stay in Japan, and who is being transferred to Japan, for a period not exceeding 5 years and who will return to the</p>		
<p><u>Key:</u> (1) Cross-border supply (2) Consumption abroad</p>	<p>(3) Commercial presence (4) Presence of natural persons</p>		

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	<p>aforementioned juridical person of a Member other than Japan upon the expiration of the said period, provided that he will be engaged in one of the following activities of professional services which may be engaged only as a natural person and not as an employee:</p>		
	<p>i) Legal services supplied by a lawyer qualified as "Bengoshi" under Japanese law;</p>		
	<p>ii) Consultancy on law of jurisdiction where the service supplier is a qualified lawyer;</p>		

Key: (1) Cross-border supply
 (2) Consumption abroad
 (3) Commercial presence
 (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	<p>iii) Legal services supplied by a patent attorney qualified as "Benrishi" under Japanese law;</p> <p>iv) Legal services supplied by a maritime procedure agent qualified as "Kaijidairishi" under Japanese law;</p> <p>v) Accounting, Auditing and Bookkeeping services supplied by an accountant qualified as "Koninkaikeishi" under Japanese law; and</p> <p>vi) Taxation services supplied by a tax accountant qualified as "Zeirishi" under Japanese law.</p>		
<u>Key:</u>	(1) Cross-border supply (2) Consumption abroad	(3) Commercial presence (4) Presence of natural persons	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(c)	<p>A natural person who stays in Japan for a time period not exceeding 90 days without acquiring remuneration from within Japan and without engaging in making direct sales to the general public or in supplying services himself, for the purposes of participating in business contacts including negotiations for the sale of services and/or other similar activities including those to prepare for establishing commercial presence in Japan.</p>		

Key: (1) Cross-border supply
 (2) Consumption abroad
 (3) Commercial presence
 (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	<p>Note for 1) and 2): Activities which require technology or knowledge at an advanced level pertinent to natural or humanities sciences referred to in (a)(iv) and (v) mean: activities in which the natural person may not be able to engage without the application of specialized technology or knowledge of natural or humanities sciences acquired by him, in principle, by completing college education (i.e. bachelor's degree) or higher education.</p>		
<p><u>Key:</u> (1) Cross-border supply (2) Consumption abroad</p>			<p>(3) Commercial presence (4) Presence of natural persons</p>

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) Services must be supplied by a natural person.	(1) None	
Professional Services	-Legal services supplied by a lawyer qualified as "Bengoshi" under Japanese law (1.A.a./861)	(2) None	
	Commercial presence is required.		
	(3) Services must be supplied by a natural person.	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
	Commercial presence is required.		

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) Services must be supplied by a natural person.	(1) A service supplier is required to stay in Japan not less than 180 days in a year.	
Professional Services	Commercial presence is required.		
Legal Services	(2) Services must be supplied by a natural person.	(2) A service supplier is required to stay in Japan not less than 180 days in a year.	
-Consultancy on law* of jurisdiction where the service supplier is a qualified lawyer** (1.A.a/n.a.)	Commercial presence is required.		
(This sector is subject to further consideration)	(3) Services must be supplied by a natural person.	(3) A service supplier is required to stay in Japan not less than 180 days in a year.	
	(4) As specified in ALL SECTORS	(4) None*	
	Commercial presence is required.	A service supplier is required to stay in Japan not less than 180 days in a year.	

Key: (1) Cross-border supply
(2) Consumption abroad

(3) Commercial presence
(4) Presence of natural persons

Additional Commitments

- (3) a. Practice of International law: permitted, provided that the international law is or was in force in the jurisdiction where the service supplier is qualified as a lawyer.
- b. Practice of third country law: not permitted
- c. Practice of Japanese law: not permitted
- d. Association with professionals: association with Bengoshi and Gaikoku-Ho-Jimu-Bengoshi is permitted
- e. Employment of Bengoshi: not permitted
- f. Use of firm name: unrestricted, provided it is followed with reference to the Gaikoku-Ho-Jimu-Bengoshi Jimusho.
- g. Representation in arbitration: permitted, provided the arbitration is based on the law which the service supplier is qualified to practice in Japan.

* i) Consultancy on law does not include, legal activities directly related to litigation such as appearance in courts, representation before Government Agencies, notarial work, and those activities concerning a legal case whose primary objective is the acquisition or loss or change of rights concerning real property in Japan or of industrial property rights, or other rights arising upon registration thereof with governmental agencies in Japan.

ii) A service supplier shall be required to cooperate with a Bengoshi or to ask for his advice in a legal case concerning family relations or inheritance, in which a Japanese national is involved as a party, or in a legal case whose objective is the acquisition or loss or change of rights concerning real property in Japan or of industrial property rights or other rights arising upon registration thereof with governmental agencies in Japan, as long as the above objective is not the primary one.

** Information provided for transparency

A service supplier must be recognized as "Gaikoku-Ho-Jimu-Bengoshi" by the Minister of Justice and register with the Japan Federation of Bar Associations.

The conditions for granting recognition by the Minister of Justice are as follows:

- (1) The service supplier is qualified as a lawyer in a jurisdiction.

- (2) The service supplier has engaged himself as a lawyer for at least 5 years in the jurisdiction where the service supplier is qualified as a lawyer.
- (3) The service supplier is not subject to such conditions of disqualification in the home jurisdiction which if applied to "Bengoshi", would disqualify the "Bengoshi".
- (4) The service supplier possesses the intention to undertake the profession in good faith.
- (5) The service supplier possesses plans, residence and financial basis to perform his functions properly and steadily.
- (6) The service supplier possesses capability to compensate damage caused to the client, if any.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) Services must be supplied by a natural person.	(1) None	
Professional Services	Commercial presence is required.		
Legal services supplied by a patent attorney qualified as "Benrishi" under Japanese law	(2) Services must be supplied by a natural person.	(2) None	
(I.A.a./86119,8612, 8613,8619)	Commercial presence is required.		
	(3) Services must be supplied by a natural person.	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
	Commercial presence is required.		

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Note: The scope of this sector is to be elaborated further.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES			
Professional Services	(1) Services must be supplied by a natural person.	(1) None	
-Legal services supplied by a maritime procedure agent qualified as "Kajidairishi" under Japanese law	(2) Services must be supplied by a natural person.	(2) None	
	(3) Services must be supplied by a natural person.	(3) None	
(1.A.a./n.a.)	(4) As specified in ALL SECTORS	(4) None*	
Key:	(1) Cross-border supply (2) Consumption abroad	(3) Commercial presence (4) Presence of natural persons	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES			
Professional Services	(1) Services must be supplied by a natural person or by an Audit Corporation**.	(1) None	
-Accounting, Auditing and Bookkeeping services supplied by an accountant qualified as "Koninkaikeishi" under Japanese law	Commercial presence is required for an Audit Corporation**.		
	(2) Services must be supplied by a natural person or by an Audit Corporation**.	(2) None	
	Commercial presence is required for an Audit Corporation**.		
	(3) Services must be supplied by a natural person or by an Audit Corporation**.	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
	Commercial presence is required for an Audit Corporation**.		

(1.A.b./862)

Key: (1) Cross-border supply
(2) Consumption abroad

(3) Commercial presence
(4) Presence of natural persons

** Audit Corporation under Japanese law is composed of more than 5 partners who are accountants qualified as "Koninkaikeishi" under Japanese law and have the right and obligation to execute all activities of the Audit Corporation.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) Services must be supplied by a natural person.	(1) None	
Professional Services	Commercial presence is required.		
-Taxation services supplied by a tax accountant qualified as "Zeirishi" under Japanese law (1.A.c./863)	(2) Services must be supplied by a natural person. Commercial presence is required.	(2) None	
	(3) Services must be supplied by a natural person. Commercial presence is required.	(3) None	
	(4) As specified in ALL SECTORS Commercial presence is required.	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
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BUSINESS SERVICES

Professional Services

-Architectural services A** supplied by a service supplier qualified as "Kenchikushi" under Japanese law, or by a service supplier using "Kenchikushi"

(1.A.d./86712, 86713, 86714***)

(1.A.e./86722, 86723, 86724***, 86725***, 86727***)

- | | |
|--------------------------------------|-----------|
| (1) Commercial presence is required. | (1) None |
| (2) Commercial presence is required. | (2) None |
| (3) None | (3) None |
| (4) As specified in ALL SECTORS | (4) None* |

Key: (1) Cross-border supply
(2) Consumption abroad

(3) Commercial presence
(4) Presence of natural persons

** Architectural services A covers services which must be supplied by a service supplier qualified as "Kenchikushi" under Japanese law, or by a service supplier using "Kenchikushi".
*** limited to services necessary for building construction excluding post construction services

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) Commercial presence is required.**	(1) None	
Professional Services	(2) Commercial presence is required.**	(2) None	
-Architectural services B supplied by a service supplier qualified as "Kenchikushi" under Japanese law, or by a service supplier using "Kenchikushi" (business related to contracts of construction works for buildings, supervising construction works for buildings, inspection and evaluation of buildings, and representation of procedures based on laws, regulations or ordinances concerning construction works for buildings)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply (3) Commercial presence
(2) Consumption abroad (4) Presence of natural persons
** Architectural services B may be supplied by a service supplier unqualified as "Kenchikushi" under Japanese law.
In that case, commercial presence is not required.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Professional Services	(2) None	(2) None	
-Architectural services other than architectural services A and B	(3) None	(3) None	
(1.A.d./86711**, 86714**, 86719**)	(4) As specified in ALL SECTORS	(4) None*	
(1.A.e./86721**)			
(1.A.g./86742**)			

Key: (1) Cross-border supply (3) Commercial presence
(2) Consumption abroad (4) Presence of natural persons

** limited to services necessary for building construction.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Professional Services	(2) None	(2) None	
-Engineering services and integrated engineering services (excluding services related to petroleum, petroleum products, gas and mineral)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	

(L.A.e.f./8672, **
8673**)

Key: (1) Cross-border supply
(2) Consumption abroad (3) Commercial presence
(4) Presence of natural persons

** excluding architectural services, and civil engineering consulting services

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Professional Services	(2) None	(2) None	
-Civil engineering consulting services	(3) None	(3) None	
(1.A.e./86721**, 86724**, 86727**, 86729**)	(4) As specified in ALL SECTORS	(4) None*	
(1.A.g./86741, 86742**)			
(1.F.e./86761**)			
(1.F.m./86751**, 86752**)			

Key: (1) Cross-border supply (3) Commercial presence
(2) Consumption abroad (4) Presence of natural persons

** limited to services necessary for civil engineering excluding engineering design services for buildings

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Computer and Related Services (excluding services of air transport)	(2) None	(2) None	
computer reservation system)	(3) None	(3) None	
(1.B./841, 842, 843, 844, 845, 849)	(4) As specified in ALL SECTORS	(4) None*	
BUSINESS SERVICES	(1) None	(1) None	
Research and Development Services	(2) None	(2) None	
-Research and development services on social sciences and humanities	(3) None	(3) None	
(1.C.b./852)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) Commercial presence is required.	(1) None	
Real Estate Services	(2) Commercial presence is required.	(2) None	
-Real estate services involving own or leased property (for the property in Japan)	(3) None	(3) None	
(1.D.a./821)	(4) As specified in ALL SECTORS	(4) None*	
BUSINESS SERVICES	(1) None	(1) None	
Real Estate Services	(2) None	(2) None	
-Real estate services involving own or leased property (for the property outside Japan)	(3) None	(3) None	
(1.D.a./821)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES			
Real Estate Services	(1) Commercial presence is required.	(1) None	
-Real estate services on a fee or contract basis (for the property in Japan)	(2) Commercial presence is required.	(2) None	
	(3) None	(3) None	
(I.D.b./822)	(4) As specified in ALL SECTORS	(4) None*	
BUSINESS SERVICES			
Real Estate Services	(1) None	(1) None	
-Real estate services on a fee or contract basis (for the property outside Japan)	(2) None	(2) None	
	(3) None	(3) None	
(I.D.b./822)	(4) As specified in ALL SECTORS	(4) None*	
Key:	(1) Cross-border supply (2) Consumption abroad	(3) Commercial presence (4) Presence of natural persons	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Rental and Leasing Services without Operators	(2) None	(2) None	
	(3) None	(3) None	
-Rental and leasing services without operators relating to transport equipment other than vessels and aircrafts	(4) As specified in ALL SECTORS	(4) None*	

(1.E.c./83101, 83102, 83105)

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Rental and Leasing Services without Operators	(2) None	(2) None	
	(3) None	(3) None	
-Rental and leasing services without operators relating to machineries and equipment other than transport equipment	(4) As specified in ALL SECTORS	(4) None*	

(1.E.d.e./83106
-83109, 832)

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Other Business Services	(2) None	(2) None	
-Advertising services	(3) None	(3) None	
(1.F.a./871)	(4) As specified in ALL SECTORS	(4) None*	
BUSINESS SERVICES	(1) None	(1) None	
Other Business Services	(2) None	(2) None	
-Market research and public opinion polling services	(3) None	(3) None	
(1.F.b./864)	(4) As specified in ALL SECTORS	(4) None*	
Key:	(1) Cross-border supply (2) Consumption abroad	(3) Commercial presence (4) Presence of natural persons	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Other Business Services	(2) None	(2) None	
-Management consulting services	(3) None	(3) None	
(1.F.c./865)	(4) As specified in ALL SECTORS	(4) None*	
BUSINESS SERVICES	(1) None	(1) None	
Other Business Services	(2) None	(2) None	
-Services related to management consulting	(3) None	(3) None	
(1.F.d./86601, 86609)	(4) As specified in ALL SECTORS	(4) None*	
<u>Key:</u>	(1) Cross-border supply	(3) Commercial presence	
	(2) Consumption abroad	(4) Presence of natural persons	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) Unbound	(1) None*	
Other Business Services	(2) Unbound due to lack of technical feasibility	(2) None*	
-Placement of services of personnel within the territory of Japan in the twenty nine occupations** (limited to services to establish employment relationship between a job applicant and an employer seeking personnel on the basis of job applications and offers)	(3) The number of licenses conferred to service suppliers may be limited.	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	

(1.F.k./87201,
87202)

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

****twenty nine occupations are the followings:**

- (1) fine artist, (2) entertainer, (3) scientific technician, (4) physician, (5) dentist,**
- (6) pharmacist, (7) midwife (8) nurse, (9) medical technician, (10) dental technician,**
- (11) dress designer, (12) audiovisual and theatrical technician, (13) lawyer,**
- (14) certified public accountant, (15) patent attorney, (16) business manager,**
- (17) fresh cake making technician, (18) housekeeper, (19) barber, (20) hairdresser,**
- (21) Japanese-kimono dresser, (22) attendant for services at table, (23) model, (24) cook,**
- (25) bartender, (26) laundry technician, (27) interpreter, (28) floor sales person, and**
- (29) sightseeing bus tour conductor**

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) Unbound	(1) None*	
Other Business Services	(2) Unbound due to lack of technical feasibility	(2) None*	
-Supply services of personnel within the territory of Japan** in the sixteen categories of business*** (limited to services to dispatch workers employed by the service supplier to work under the direction of another person while employment relationship with the service supplier is maintained)	(3) The number of licenses conferred to service suppliers may be limited.	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	

(1.F.k./87203,
87209)

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

** The dispatched worker may not be supplied from outside of Japan through intra-corporate transfer.
 *** sixteen categories of business are the followings:

- (1) Designing or maintenance of a system run by computer, or designing, developing or maintenance of a computer program;
- (2) Designing or drafting of machinery, devices or instruments (hereinafter referred to as "machineries") or equipment consisting of machineries;
- (3) Operating machinery for picture recording, sound recording, etc., used in the production of broadcasting programs;
- (4) Directing production of broadcasting programs;
- (5) Operating computers, typewriters, telex or other similar office machinery;
- (6) Interpretation, translation or shorthand;
- (7) Secretarial work for a representative or other person in similar managerial position of a juridical person;
- (8) Classifying or filing documents, magnetic tapes, etc., which requires advanced expertise, technique or experience;
- (9) Research of market, etc. or arrangement or analysis of the result of such research in order to obtain basic data necessary for the development of new products, or the preparation of sales plans, etc.;
- (10) Preparation of balance sheets, statements of profits and loss, and other financial documents, or other work handling financial affairs;
- (11) Preparation of documents concerning international trade or other external transactions, or of written contracts, receipt notes, bills of lading or other similar documents concerning domestic transactions;
- (12) Introduction and explanation of the capacity and operation, etc., of computers, cars and other machinery which require advanced expertise, technique or experience in order to operate appropriately;
- (13) Administering itineraries for tourists, by accompanying them, offering services incidental to such administering work for the convenience of tourists (excluding attendant services provided inside vehicles, vessels or aircrafts), or welcoming and sending off tourists in the buildings which are used for boarding and alighting or waiting by passengers and are installed at the stations or platforms of vehicles, vessels and aircrafts;
- (14) Cleaning of buildings;

- (15) Operation, inspection, or maintenance of the facilities for electricity, gas, water supply, drainage, ventilation, heating, air conditioning, fire extinguishing, discharge of smoke or sanitation, and chimneys, elevators, or lightning rods, installed in the building (referred to as "building facilities in (16)"); and
- (16) Reception of visitors or providing guidance to them in buildings or exposition sites, or attending a parking lot attached to those buildings, and maintenance and management of the facilities (excluding building facilities) installed in buildings for the purpose of offering convenience for visitors, workers, or residents of the buildings, in order to facilitate efficient utilization of the buildings.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Other Business Services	(2) None	(2) None	
-Related scientific and technical consulting services (excluding services related to petroleum, petroleum products, gas, mineral, and surveying)	(3) None	(3) None	
(1.F.m./86751, 86752)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Other Business Services	(2) None	(2) None	
-Surveying services for the land outside Japan	(3) None	(3) None	
(1.F.m./86753, 86754)	(4) As specified in ALL SECTORS	(4) None*	
BUSINESS SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Other Business Services	(2) None	(2) None	
-Maintenance and repair of equipment (excluding vessels, aircrafts and other transport equipment)	(3) None	(3) None	
(1.F.n./633, 8861-8866)	(4) As specified in ALL SECTORS	(4) None*	
Key:	(1) Cross-border supply	(3) Commercial presence	
	(2) Consumption abroad	(4) Presence of natural persons	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES			
Other Business Services	(1) Unbound due to lack of technical feasibility	(1) None*	
-Building-cleaning services	(2) Unbound due to lack of technical feasibility	(2) None*	
(1.F.o./87401, 87402, 87403, 87409)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
BUSINESS SERVICES			
Other Business Services	(1) None	(1) None	
	(2) None	(2) None	
-Photographic services	(3) None	(3) None	
(1.F.p./875)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Other Business Services	(2) None	(2) None	
-Packaging services	(3) None	(3) None	
(1.F.q./876)	(4) As specified in ALL SECTORS	(4) None*	
BUSINESS SERVICES	(1) None	(1) None	
Other Business Services	(2) None	(2) None	
-Printing and publishing services	(3) None	(3) None	
(1.F.r./88442)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES			
Other Business Services	(1) Unbound due to lack of technical feasibility	(1) None*	
-Convention services	(2) None	(2) None	
	(3) None	(3) None	
(1.F.s./87909)	(4) As specified in ALL SECTORS	(4) None*	
BUSINESS SERVICES			
Other Business Services	(1) None	(1) None	
	(2) None	(2) None	
- Translation and interpretation services	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
(1.F.t./87905)			
Key:	(1) Cross-border supply	(3) Commercial presence	
	(2) Consumption abroad	(4) Presence of natural persons	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
BUSINESS SERVICES	(1) None	(1) None	
Other Business Services	(2) None	(2) None	
- Specialty design services	(3) None	(3) None	
(1.F.t./87907)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
COMMUNICATION SERVICES	(1) None	(1) None	
Telecommunication Services	(2) None	(2) None	
1**. The following domestic tele-communications services provided by Type II tele-communications business***	(3) None	(3) None	
1**. The following domestic tele-communications services provided by Type II tele-communications business***	(4) As specified in ALL SECTORS	(4) None*	
- Packet-switched data transmission services (2.C.b./7523)			
- Circuit-switched data transmission services (2.C.c./7523)			
- Telex services (2.C.d./7523)			

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
- Facsimile services (2.C.f./7529) - Private leased circuit services (2.C.g./7529)			
Key: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
COMMUNICATION SERVICES			
Telecommunication Services	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	
2**. Value-added services provided by Type II telecommunications business*** including	(4) As specified in ALL SECTORS	(4) None*	
- Electronic mail (2.C.h./7523)			
- Voice mail (2.C.i./7521)			
- On-line information and data base retrieval (2.C.j./7523)			
- Electronic data interchange (EDI) (2.C.k./7523)			

Key: (1) Cross-border supply (3) Commercial presence
(2) Consumption abroad (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
<ul style="list-style-type: none"> - Enhanced facsimile services (2.C.1./7529) - Code and protocol conversion (2.C.m./7523) - On-line information and/or data processing (2.C.n./843) 			
	<p>Key: (1) Cross-border supply (2) Consumption abroad</p>	<p>(3) Commercial presence (4) Presence of natural persons</p>	
	<p>** Excluding voice transmission services provided through the use of domestic leased circuits interconnected with public switched networks.</p>		
	<p>*** Type II telecommunications business is any telecommunications business other than type I telecommunications business. Type I telecommunications business is any business which provides telecommunications services by establishing telecommunications circuit facilities. Telecommunications circuit facilities mean transmission line facilities connecting transmitting points with receiving points, switching facilities installed as inseparable units therefrom, and other facilities accessory to such facilities.</p>		

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
CONSTRUCTION AND RELATED ENGINEERING SERVICES (excluding services related to mining)	(1) Unbound due to lack of technical feasibility	(1) None*	
(3.A./512)	(2) None	(2) None	
(3.B./513)	(3) None	(3) None	
(3.C./514, 516)	(4) As specified in ALL SECTORS	(4) None*	
(3.D./517)			
(3.E./511, 515, 518)			

Key: (1) Cross-border supply (3) Commercial presence
(2) Consumption abroad (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
DISTRIBUTION SERVICES (excluding services related to petroleum, petroleum products, rice, tobacco, salt, alcoholic beverages, and those supplied at Public Wholesale Market**)	(1) None (2) None (3) None (4) As specified in ALL SECTORS	(1) None (2) None (3) None (4) None*	
Commission Agents' Services (4.A./621, 61111, 61130, 61210)			
Wholesale Trade Services (4.B./622, 61111, 61130, 61210)			
Retailing Services (4.C./631, 632, 61112, 61130, 61210)			
Franchising Services (4.D./8929)			
	Key: (1) Cross-border supply (2) Consumption abroad	(3) Commercial presence (4) Presence of natural persons	

** Public Wholesale Market is a market established under national or local government approval for commission agents' services and wholesale trade services of fresh foods including vegetables, fruits, marine products, meats and other daily foods, and flowers, with auction or bidding hall, parking lot and other facilities necessary for trade and disposal of above goods, which is operated on a permanent basis.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
EDUCATIONAL SERVICES	(1)	(1)	None*
Educational Services supplied by Formal Education Institutions** established in Japan	(2)	Unbound due to lack of technical feasibility	None*
(5.A./9211, 9219) (5.B./9221, 9222, 9223) (5.C./9231, 9239)	(3)	Formal Education Institutions** must be established by school juridical persons.	None
	(4)	Unbound	None*

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

** For the purpose of this sector, Formal Education Institutions are elementary schools, lower secondary schools, upper secondary schools, universities, technical colleges, schools for the blind, schools for the deaf, schools for the handicapped, and kindergartens.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
EDUCATIONAL SERVICES			
Adult Education			
- Foreign language tuition services for adults (excluding services supplied by Formal Education Institutions** established in Japan)	(1) None (2) None (3) None (4) As specified in ALL SECTORS	(1) None (2) None (3) None (4) None*	
(5.D./92400)			

Key: (1) Cross-border supply
 (2) Consumption abroad
 (3) Commercial presence
 (4) Presence of natural persons

** For the purpose of this sector, Formal Education Institutions are elementary schools, lower secondary schools, upper secondary schools, universities, technical colleges, schools for the blind, schools for the deaf, schools for the handicapped, kindergartens, special training colleges and miscellaneous schools.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
ENVIRONMENTAL SERVICES			
Sewage Services	(1) Unbound due to lack of technical feasibility	(1) None*	
(6.A./9401)	(2) Unbound due to lack of technical feasibility	(2) None*	
	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
ENVIRONMENTAL SERVICES			
Refuse Disposal Services	(1) Unbound due to lack of technical feasibility	(1) None*	
(6.B./9402)	(2) Unbound due to lack of technical feasibility	(2) None*	
	(3) The number of licenses conferred to service suppliers of waste oil disposal at sea from vessels may be limited.	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
ENVIRONMENTAL SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Sanitation and Similar Services	(2) Unbound due to lack of technical feasibility	(2) None*	
(6.C./9403)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
ENVIRONMENTAL SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Cleaning Services of Exhaust Gases	(2) Unbound due to lack of technical feasibility	(2) None*	
(6.D./9404)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
ENVIRONMENTAL SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Noise Abatement Services	(2) Unbound due to lack of technical feasibility	(2) None*	
(6.D./9405)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
ENVIRONMENTAL SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Nature and Landscape Protection Services	(2) Unbound due to lack of technical feasibility	(2) None*	
(6.D./9406)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural person

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
ENVIRONMENTAL SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Other Environmental Protection Services	(2) Unbound due to lack of technical feasibility	(2) None*	
(6.D./9409)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
HEALTH RELATED AND SOCIAL SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Hospital Services	(2) None	(2) None	
(8.A./9311)	(3) Unbound except for the limitations on the participation of foreign capital	(3) None*	
	(4) Unbound	(4) None*	
Key:	(1) Cross-border supply	(3) Commercial presence	(4) Presence of natural persons
	(2) Consumption abroad		

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
HEALTH RELATED AND SOCIAL SERVICES			
Social Services	(1) Unbound due to lack of technical feasibility	(1) None*	
(8.C./933)	(2) None	(2) None	
	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
TOURISM AND TRAVEL RELATED SERVICES			
Hotels and Restaurants Services (excluding catering services)	(1) Unbound due to lack of technical feasibility	(1) None*	
	(2) None	(2) None	
	(3) None	(3) None	
(9.A./6411, 6412, 64194, 6421, 6422, 6431, 6432)	(4) As specified in ALL SECTORS	(4) None*	
TOURISM AND TRAVEL RELATED SERVICES			
Catering Services	(1) None	(1) None	
	(2) None	(2) None	
	(3) None	(3) None	
(9.A./6423)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TOURISM AND TRAVEL RELATED SERVICES			
Travel Agencies and Tour Operators Services	(1) None (2) None (3) None (4) As specified in ALL SECTORS	(1) None (2) None (3) None (4) None*	
(9.B./7471)			
TOURISM AND TRAVEL RELATED SERVICES			
Tourist Guides Services	(1) Unbound due to lack of technical feasibility (2) None (3) None (4) As specified in ALL SECTORS	(1) None* (2) None (3) None (4) None*	
(9.C./7472)			

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
RECREATIONAL, CULTURAL, AND SPORTING SERVICES Entertainment Services (including theatre, live bands and circus services) (10.A./9619)	(1) Unbound due to lack of technical feasibility (2) None (3) None (4) As specified in ALL SECTORS	(1) None* (2) None (3) None (4) None*	
RECREATIONAL, CULTURAL, AND SPORTING SERVICES News Agency Services (10.B./962)	(1) None (2) None (3) None (4) As specified in ALL SECTORS	(1) None (2) None (3) None (4) None*	
Key: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons			

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
RECREATIONAL, CULTURAL AND SPORTING SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Libraries and Archives Services	(2) None	(2) None	
(10.C./96311, 96312)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
RECREATIONAL, CULTURAL AND SPORTING SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Sporting Services	(2) None	(2) None	
(10.D./9641)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
Key:	(1) Cross-border supply (2) Consumption abroad	(3) Commercial presence (4) Presence of natural persons	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
RECREATIONAL, CULTURAL AND SPORTING SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Recreation Parks and Beach Services	(2) None	(2) None	
(10.D./96491)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
 (2) Consumption abroad
 (3) Commercial presence
 (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TRANSPORT SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Internal Waterways Transport Services	(2) None	(2) None	
-Pushing and towing services	(3) None	(3) None	
(11.B.e./7224)	(4) As specified in ALL SECTORS	(4) None*	
TRANSPORT SERVICES	(1) None	(1) None	
Internal Waterways Transport Services	(2) None	(2) None	
-Salvaging and refloating services, watering services, fueling services, garbage collecting services	(3) None	(3) None	
(11.B.f./7454, 7459)	(4) As specified in ALL SECTORS	(4) None*	
Key:	(1) Cross-border supply	(3) Commercial presence	
	(2) Consumption abroad	(4) Presence of natural persons	
Note:	The sectors of Internal Waterways Transport Services do not include "cabotage services", which covers transportation of passengers or goods between a port located in Japan and another port located in Japan and traffic with passengers aboard originating and terminating in the same port located in Japan.		

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TRANSPORT SERVICES			
Air Transport Services	(1) Unbound due to lack of technical feasibility	(1) None*	
- Aircraft repair and maintenance services**	(2) None	(2) None	
(11.C.d./n.a.)	(3) The number of licenses conferred to service suppliers may be limited.	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
TRANSPORT SERVICES			
Air Transport Services	(1) None	(1) None	
-Selling or marketing of air transport services***	(2) None	(2) None	
(11.C.e./n.a.)	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply (3) Commercial presence
(2) Consumption abroad (4) Presence of natural persons

** as defined in paragraph 6 (a) of Annex on Air Transport Services

*** as defined in paragraph 6 (b) of Annex on Air Transport Services

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TRANSPORT SERVICES	(1) None	(1) None	
Air Transport Services	(2) None	(2) None	
-Computer reservation system (CRS) services**	(3) None	(3) None	
(11.C.e./n.a.)	(4) As specified in ALL SECTORS	(2) None*	

Key: (1) Cross-border supply (3) Commercial presence
(2) Consumption abroad (4) Presence of natural persons

** as defined in paragraph 6(c) of Annex on Air Transport Services

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TRANSPORT SERVICES			
Rail Transport Services	(1) Unbound due to lack of technical feasibility	(1) None*	
-Maintenance and repair of rail transport equipment	(2) None	(2) None	
	(3) None	(3) None	
(11.E.d./8868)	(4) As specified in ALL SECTORS	(4) None*	
TRANSPORT SERVICES			
Rail Transport Services	(1) None	(2) None	
-Rental services of railway transport equipment with operators	(2) None	(2) None	
	(3) None	(3) None	
	(4) As specified in ALL SECTORS	(4) None*	
(11.I./n.a.)			
Key:	(1) Cross-border supply (2) Consumption abroad	(3) Commercial presence (4) Presence of natural persons	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TRANSPORT SERVICES	(1)	(1) None*	
Road Transport Services	(2)	(2) None	
- Freight transportation services (11.F.b./7123)	(3)	(3) None	
	(4)	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TRANSPORT SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Road Transport Services	(2) None	(2) None	
-Maintenance and repair services of road transport equipment	(3) None	(3) None	
(11.F.d./6112, 8867)	(4) As specified in ALL SECTORS	(4) None*	
TRANSPORT SERVICES	(1) None	(1) None	
Pipeline Transport Services	(2) None	(2) None	
-Transportation services of goods other than fuels	(3) None	(3) None	
(11.G.b./7139)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TRANSPORT SERVICES	(1)	(1)	None*
Services Auxiliary to All Modes of Transport	(2)	Unbound due to lack of technical feasibility	(2)
-Storage and warehouse services (excluding services related to petroleum and petroleum products)	(3)	None	(3)
(11.H.b./742)	(4)	As specified in ALL SECTORS	(4)

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

PART II

Draft national schedule contained in this part is conditional upon presentation by major trading partners of meaningful draft national schedule on an MFN basis for respective sectors contained.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
COMMUNICATION SERVICES	(1) None	(1) None	
Audiovisual Services	(2) None	(2) None	
	(3) None	(3) None	
-Motion picture and video tape production and distribution services	(4) As specified in ALL SECTORS	(4) None*	
(2.D.a./9611)			
COMMUNICATION SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Audiovisual Services	(2) None	(2) None	
-Motion picture projection services	(3) None	(3) None	
(2.D.b./9612)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
COMMUNICATION SERVICES	(1) None	(1) None	
Audiovisual Services	(2) None	(2) None	
-Sound recording	(3) None	(3) None	
(2.D.e./n.a.)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

FINANCIAL SERVICES

In addition to Part III of the Agreement and the Annex on financial services, Japan undertakes its specific commitments with respect to financial services under the Agreement in accordance with the Understanding on Commitments in Financial Services (hereinafter referred to as the "Understanding", attached to this schedule, which forms an integral part of this schedule). Thus, the obligations under the Understanding are addressed in this schedule additionally to those covered by the provisions of Part III of the Agreement and the Annex on Financial Services.

For prudential reasons within the context of paragraph 2.1 of the Annex on Financial Services, Japan shall not be prevented from taking measures such as non-discriminatory limitations on juridical forms of a commercial presence. For the same reasons, Japan shall not be prevented from applying non-discriminatory limitations concerning admission to the market of new financial services which shall be consistent with regulatory framework aimed at achieving such prudential objectives. In this context, securities firms are allowed to deal in securities defined in the relevant Japanese law, and banks are not allowed to deal in those securities unless allowed in accordance with the said law.

For the purpose of this schedule, services supplied in the territory of a Member other than Japan to the service consumer in Japan without any active marketing from the service supplier are considered as services supplied under paragraph 2(b) of Article I of the Agreement.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Insurance and Insurance-Related Services (7.A./n.a.)	Commitments in the market access column with respect to the cross-border supply and consumption abroad as prescribed in paragraph 2(a) and (b) of Article 1 of the Agreement are unbound except for obligations under paragraph 3 and 4 of the Understanding respectively which are addressed in this schedule additionally to those covered by the provisions of Part III of the Agreement and the Annex on Financial Services, subject to conditions and qualifications set out below.		

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Insurance and Insurance-Related Services (cont.)	<p>(1) - Commercial presence is in principle required for insurance contracts on the following items and any liability arising therefrom:</p> <p>(a) goods being transported within Japanese territory</p> <p>(b) ships and aircrafts of Japanese registration</p> <p>- 60% of amounts of reinsurance on the compulsory automobile third party liability insurance is subject to the compulsory coverage by the Government.</p>	(1) None	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Insurance and Insurance-Related Services (cont.)	<p>- Insurance services cannot be supplied through an intermediary in Japan.</p> <p>(2) - Commercial presence is in principle required for insurance contracts on the following items and any liability arising therefrom:</p> <p>(a) goods being transported within Japanese territory</p> <p>(b) ships and aircrafts of Japanese registration</p> <p>- 60% of amounts of reinsurance on the compulsory automobile third party liability insurance is subject</p>	(2) None	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Insurance and Insurance-Related Services (cont.)	<p>to the compulsory coverage by the Government.</p> <p>- Insurance services cannot be supplied through an intermediary in Japan.</p> <p>(3) Establishment of commercial presence as insurance brokers is not allowed.</p> <p>- 60% of amounts of reinsurance on the compulsory automobile third party liability insurance is subject to the compulsory coverage by the Government.</p> <p>- Insurance intermediation services cannot be supplied for insurance contracts made by an insurance service supplier who is not licensed in Japan.</p> <p>(4) As specified in ALL SECTORS</p>	<p>(3) Foreign life insurance companies are required to retain in yen currency an amount corresponding to their technical and claim reserves for yen-denominated insurance policies in Japan.</p>	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Banking and Other Financial Services (excluding Insurance and Insurance-Related Services)	Commitments in the market access column with respect to the cross-border supply and consumption abroad as prescribed in paragraph 2(a) and (b) of Article I of the Agreement are unbound except for obligations under paragraph 3 and 4 of the Understanding respectively which are addressed in this schedule additionally to those covered by the provisions of Part III of the Agreement and the Annex on Financial Services, subject to conditions and qualifications set out below.		
(7.B./n.a.)			
	(1) Commercial presence is required for discretionary investment management services.	(1) None	
	(2) Overseas deposits and trust contracts denominated in foreign currencies, the sum of which are over 100 million yen value, and those	(2) None	
Key:	(1) Cross-border supply (2) Consumption abroad	(3) Commercial presence (4) Presence of natural persons	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Banking and Other Financial Services (excluding Insurance and Insurance-Related Services) (cont.)	<p>denominated in yen are subject to approval.</p> <p>The following capital transaction services may be supplied through authorized foreign exchange banks in Japan, or otherwise are in principle subject to approval:</p>		
	<p>(a) Trade in:</p> <ul style="list-style-type: none"> i) payment instruments such as cheques and bills; ii) foreign exchange; and iii) derivative products which involve outright foreign exchange transactions such as currency spot options. 		

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Banking and Other Financial Services (excluding Insurance and Insurance-Related Services) (cont.)	<p>(h) Special methods of settlement such as offsetting and any method whereby a resident either makes payment for the non-resident concerned to another resident or receives payment made by another resident for the non-resident concerned</p> <p>(c) Swaps</p> <p>(d) Factoring</p> <p>Commercial presence is required for financial/securities futures and options transaction services, except for the services supplied to the financial institutions in accordance with the designation by Minister of Finance under the relevant Japanese laws.</p>	<p>(3) The deposit insurance system does not cover deposits taken by branches of foreign banks.</p>	
	<p>(3) Commercial presence for investment trust management services must be a juridical person established in Japan.</p>		

Key: (1) Cross-border supply
(2) Consumption abroad

(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Banking and Other Financial Services (excluding Insurance and Insurance-Related Services) (cont.)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

**Additional Commitments
(Subject to further technical modifications)**

1. Asset management

Japan intends to expand the scope of Employee's Pension Fund (hereinafter referred to as "fund") assets which can be managed by discretionary investment management firms, in respect of the funds qualified by Minister of Health and Welfare, by removing classification between New Money* and assets other than New Money.

* New Money is asset mainly consisting of accumulation of premiums collected by a fund after the day when the fund is qualified by Minister of Health and Welfare as appropriate for expanding its means of asset management to include discretionary investment management services.

2. Insurance

i) Cross-border insurance

Japan intends to take measures necessary for making substantial liberalization of the cross-border insurance transactions for ships of Japanese registration which are used for international shipping and aircraft of Japanese registration, as an integral part of the Insurance System Reform, which will take place at the earliest in 1995.

ii) Insurance brokers

Japan intends to take measures necessary for introducing the insurance brokerage system, as an integral part of the Insurance System Reforms, which will take place at the earliest in 1995.

UNDERSTANDING ON COMMITMENTS IN FINANCIAL SERVICES

Participants in the Uruguay Round have been enabled to take on specific commitments with respect to Financial Services under the General Agreement on Trade in Services on the basis of an alternative approach to that covered by the provisions of Part III of the Agreement. It was agreed that this approach could be applied subject to the following understanding:

- it does not conflict with the provisions of the Agreement;
- it does not prejudice the right of any Member to schedule its specific commitments in accordance with the approach under Part III of the Agreement;
- resulting specific commitments shall apply on a most-favoured-nation basis;
- no presumption has been created as to the degree of liberalization to which a Member is committing itself under the Agreement.

Interested Members, on the basis of negotiations, and subject to conditions and qualifications where specified, have inscribed in their schedule specific commitments conforming to the approach set out below.

Standstill

Any conditions, limitations and qualifications to the commitments noted below shall be limited to existing non-conforming measures.

Market Access

Monopoly Rights

1. In addition to Article VIII of the Agreement, the following shall apply:

Each Member shall list in its schedule pertaining to financial services existing monopoly rights and shall endeavour to eliminate them or reduce their scope. Notwithstanding paragraph 1.2 of the Annex on Financial Services, this paragraph applies to the activities referred to in subparagraph 1.2.3 of the Annex.

Financial Services purchased by Public Entities

2. Notwithstanding Article XIII of the Agreement, each Member shall ensure that financial service suppliers of any other Member established in its territory are accorded most-favoured-nation treatment and national treatment as regards the purchase or acquisition of financial services by public entities of the Member in its territory.

Cross-border Trade

3. Each Member shall permit non-resident suppliers of financial services to supply, as a principal, as a principal through an intermediary or as an intermediary, and under terms and conditions that accord national treatment, the following services:

- ATTACHMENT
- (a) insurance of risks relating to:
- (i) maritime shipping and commercial aviation and space launching and freight (including satellites), with such insurance to cover any or all of the following: the goods being transported, the vehicle transporting the goods and any liability arising therefrom: and
 - (ii) goods in international transit;
- (b) reinsurance and retrocession and the services auxiliary to insurance as referred to in sub-paragraph 5.1(d) of the Annex;
- (c) provision and transfer of financial information and financial data processing as referred to in sub-paragraph 5.1(o) of the Annex and advisory and other auxiliary services, excluding intermediation, relating to banking and other financial services as referred to in sub-paragraph 5.1(p) of the Annex.

4. Each Member shall permit its residents to purchase in the territory of any other Member the financial services indicated in:

- (a) sub-paragraph 3(a);
- (b) sub-paragraph 3(b); and
- (c) sub-paragraphs 5.1(e) to (p) of the Annex.

Commercial Presence

5. Each Member shall grant financial service suppliers of any other Member the right to establish or expand within its territory, including through the acquisition of existing enterprises, a commercial presence.

6. A Member may impose terms, conditions and procedures for authorization of the establishment and expansion of a commercial presence in so far as they do not circumvent the Member's obligation under paragraph 5 and they are consistent with the other obligations of this Agreement.

New Financial Services

7. A Member shall permit financial service suppliers of any other Member established in its territory to offer in its territory any new financial service.

Transfers of Information and Processing of Information

8. No Member shall take measures that prevent transfers of information or the processing of financial information, including transfers of data by electronic means, or that, subject to importation rules consistent with international agreements, prevent transfers of equipment, where such transfers of information, processing of financial information or transfers of equipment are necessary for the conduct of the ordinary business of a financial service supplier. Nothing in this paragraph restricts the right of a Member to protect personal data, personal privacy and the confidentiality of individual records and accounts so long as such right is not used to circumvent the provisions of the Agreement.

Temporary Entry of Personnel

9. (a) Each Member shall permit temporary entry into its territory of the following personnel of a financial service supplier of any other Member that is establishing or has established a commercial presence in the territory of the Member:
- (i) senior managerial personnel possessing proprietary information essential to the establishment, control and operation of the services of the financial service supplier; and
 - (ii) specialists in the operation of the financial service supplier.
- (b) Each Member shall permit, subject to the availability of qualified personnel in its territory, temporary entry into its territory of the following personnel associated with a commercial presence of a financial service supplier of any other Member:
- (i) specialists in computer services, telecommunication services and accounts of the financial service supplier; and
 - (ii) actuarial and legal specialists.

Non-discriminatory Measures

10. Each Member shall endeavour to remove or to limit any significant adverse effects on financial service suppliers of any other Member of:

- (a) non-discriminatory measures that prevent financial service suppliers from offering in the Member's territory, in the form determined by the Member, all the financial services permitted by the Member;
- (b) non-discriminatory measures that limit the expansion of the activities of financial service suppliers into the entire territory of the Member;
- (c) measures of a Member, when such a Member applies the same measures to the supply of both banking and securities services, and a financial service supplier of any other Member concentrates its activities in the provision of securities services; and
- (d) other measures that, although respecting the provisions of this Agreement, affect adversely the ability of financial service suppliers of any other Member to operate, compete or enter the Member's market;

provided that any action taken under this paragraph would not unfairly discriminate against financial service suppliers of the Member taking such action.

11. With respect to the non-discriminatory measures referred to in sub-paragraphs 10(a) and (b), a Member shall endeavour not to limit or restrict the present degree of market opportunities nor the benefits already enjoyed by financial service suppliers of all other Members as a class in the territory of the Member, provided that this commitment does not result in unfair discrimination against financial service suppliers of the Member applying such measures.

National Treatment

1. Under terms and conditions that accord national treatment, each Member shall grant to financial service suppliers of any other Member established in its territory access to payment and clearing systems operated by public entities, and to official funding and refinancing facilities available in the normal course of ordinary business. This paragraph is not intended to confer access to the Member's lender of last resort facilities.

2. When membership or participation in, or access to, any self-regulatory body, securities or futures exchange or market, clearing agency, or any other organization or association, is required by a Member in order for financial service suppliers of any other Member to supply financial services on an equal basis with financial service suppliers of the Member, or when the Member provides directly or indirectly such entities, privileges or advantages in supplying financial services, the Member shall ensure that such entities accord national treatment to financial service suppliers of any other Member resident in the territory of the Member.

Definitions

For the purposes of this approach:

1. A non-resident supplier of financial services is a financial service supplier of a Member which supplies a financial service into the territory of another Member from an establishment located in the territory of another Member, regardless of whether such a financial service supplier has or has not a commercial presence in the territory of the Member in which the financial service is supplied.

2. "Commercial presence" means an enterprise within a Member's territory for the supply of financial services and includes wholly- or partly-owned subsidiaries, joint ventures, partnerships, sole proprietorships, franchising operations, branches, agencies, representative offices or other organizations.

3. A new financial service is a service of a financial nature, including services related to existing and new products or the manner in which a product is delivered, that is not supplied by any financial service supplier in the territory of a particular Member but which is supplied in the territory of another Member.

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TRANSPORT SERVICES	(1) None	(1) None	
Maritime Transport Services	(2) None	(2) None	
-International shipping services (including passenger transportation and freight transportation services)	(3) None	(3) None	
(11.A.a.b./7211, 7212)	(4) As specified in ALL SECTORS	(4) None*	
TRANSPORT SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Maritime Auxiliary Transport Services	(2) None	(2) None	
-Maritime cargo handling services	(3) The number of licenses conferred to service suppliers may be limited in ports designated by the Government.	(3) None	
(11.A.f./n.a.)			
	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TRANSPORT SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Maritime Auxiliary Transport Services	(2) None	(2) None	
-Container stations and depot services	(3) None	(3) None	
(11.A.f./n.a.)	(4) As specified in ALL SECTORS	(4) None*	
TRANSPORT SERVICES	(1) None	(1) None	
Maritime Auxiliary Transport Services	(2) None	(2) None	
-Maritime agency services	(3) None	(3) None	
(11.A.f./n.a.)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TRANSPORT SERVICES	(1) None	(1) None	
Maritime Auxiliary Transport Services	(2) None	(2) None	
-Maritime freight forwarding services	(3) None	(3) None	
(11.A.f./n.a.)	(4) As specified in ALL SECTORS	(4) None*	
TRANSPORT SERVICES	(1) Unbound due to lack of technical feasibility	(1) None*	
Maritime Auxiliary Transport Services	(2) None	(2) None	
-Pushing and towing services	(3) None	(3) None	
(11.A.e./7214)	(4) As specified in ALL SECTORS	(4) None*	
Key:	(1) Cross-border supply	(3) Commercial presence	
	(2) Consumption abroad	(4) Presence of natural persons	

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
TRANSPORT SERVICES	(1) None	(1) None	
Maritime Auxiliary Transport Services	(2) None	(2) None	
-Salvaging and refloating services, fuelling services, garbage collecting services	(3) None	(3) None	
(11.A.f./7454, 7459)	(4) As specified in ALL SECTORS	(4) None*	

Key: (1) Cross-border supply
(2) Consumption abroad
(3) Commercial presence
(4) Presence of natural persons